

Contract ID#: S800302Department: Public Works**B25-15**CLPW 15000028  
**CF****CF (Capital)****Contract Details**SERVICE Water Main RequirementsNIFS ID #: CHPW200007 NIFS Entry Date: 6/24/15 Term: from 11/16/15 to 11/14/16

New <input type="checkbox"/> Renewal <input type="checkbox"/>
Amendment <input checked="" type="checkbox"/> # <u>1</u>
Time Extension <input type="checkbox"/>
Addl. Funds <input type="checkbox"/>
Blanket Resolution <input type="checkbox"/>
RES#

1) Mandated Program:	Yes <input type="checkbox"/>	No <input type="checkbox"/>
2) Comptroller Approval Form Attached:	Yes <input type="checkbox"/>	No <input type="checkbox"/>
3) CSEA Agmt. § 32 Compliance Attached:	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4) Vendor Ownership & Mgmt. Disclosure Attached:	Yes <input type="checkbox"/>	No <input type="checkbox"/>
5) Insurance Required	Yes <input type="checkbox"/>	No <input type="checkbox"/>

**Agency Information**

Vendor	
Name Bancker Construction Corp	Vendor ID# <u>11-1876887</u>
Address 218 Blydenburgh Rd., Islandia NY	Contact Person Michael Beyer
	Phone 631-582-8880

County Department
Department Contact Scott Urban
Address 1194 Prospect Ave, Westbury
Phone 1-6840

**Routing Slip**

DATE Rec'd.	DEPARTMENT	Internal Verification	DATE App'd & Fw'd.	SIGNATURE	Leg. Approval Required
	Department	NIFS Entry (Dept) <input type="checkbox"/> NIFS Appvl (Dept. Head) <input type="checkbox"/>	<u>6/24/15</u>	<u>[Signature]</u>	
	DPW (Capital Only)	CF Capital Fund Approval <input type="checkbox"/>	<u>6/24/15</u>	<u>[Signature]</u>	
	OMB	NIFS Approval <input type="checkbox"/>	<u>6/24/15</u>	<u>[Signature]</u>	Yes <input type="checkbox"/> No <input type="checkbox"/> Not required if Blanket Res
	County Attorney	CA RE & Insurance Verification <input type="checkbox"/>	<u>6/24/15</u>	<u>[Signature]</u>	
	County Attorney	CA Approval as to form <input type="checkbox"/>	<u>6/24/15</u>	<u>[Signature]</u>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
	Legislative Affairs	Fw'd Original Contract to CA <input type="checkbox"/>	<u>7/1/15</u>	<u>[Signature]</u>	
	Rules <input type="checkbox"/> / Leg. <input type="checkbox"/>	<input type="checkbox"/>			
	County Attorney	NIFS Approval <input type="checkbox"/>			
	Comptroller	NIFS Approval <input type="checkbox"/>			
<u>7/1/15</u>	County Executive	Notarization <input type="checkbox"/> Filed with Clerk of the Leg. <input type="checkbox"/>	<u>7/1/15</u>	<u>[Signature]</u>	

Contract ID#: S80030ZDepartment: Public Works

## Contract Summary

<b>Description:</b> Requirements Contract S80030Z – Maintenance and Repair of Water Mains & Appurtenances
<b>Purpose:</b> Line item contract for the emergency and non-emergency repair of water mains, hydrants, valves and other elated devices.
<b>Method of Procurement:</b> Open Competitive Bid
<b>Procurement History:</b>
<b>Description of General Provisions:</b>
<b>Impact on Funding / Price Analysis:</b>
<b>Change in Contract from Prior Procurement:</b>
<b>Recommendation:</b> (approve as submitted)

## Advisement Information

BUDGET CODES	
Fund:	
Control:	
Resp:	
Object:	
Transaction:	

FUNDING SOURCE	AMOUNT
Revenue Contract <input type="checkbox"/>	XXXXXXXX
County	\$
Federal	\$
State	\$
Capital	\$
Other	\$
<b>TOTAL</b>	<b>\$</b>

LINE	INDEX/OBJECT CODE	AMOUNT
1	90403 / 60004	\$100,000.00
2	0120 GEN / DE 500	\$20,000.00
3		\$
4		\$
5		\$
6		\$
<b>TOTAL</b>		<b>\$120,000.00</b>

RENEWAL	
% Increase	
% Decrease	

Document Prepared By: \_\_\_\_\_

Date: \_\_\_\_\_

NIFS Certification	Comptroller Certification	County Executive Approval
I certify that this document was accepted into NIFS.	I certify that an unencumbered balance sufficient to cover this contract is present in the appropriation to be charged.	Name <i>C. J. [Signature]</i>
Name	Name	Date <i>7/1/14</i>
Date	Date	(For Office Use Only)
		<b>E #:</b>



**B25-15**

## Staff Summary

Subject <b>Requirements Contract - Water Mains</b>
Department <b>Public Works</b>
Department Head Name <b>Shila Shah-Gavnoudias, Commissioner</b>
Department Head Signature 
Project Manager Name: <b>Scott Urban</b> Phone Number: <b>571-6967</b>

Date: <b>June 22, 2015</b>
Vendor Name: <b>Bancker Construction Corp.</b>
Contract Number <b>S80030Z</b>
Personal Services ____ Blanket Res ____ Calendar ____
Bid <b>9/27/11</b> Rules Comm. ____
Contract Manager Name: <b>Michael Beyer</b> Phone Number: <b>631-582-8880</b>

Proposed Legislative Action					
	To	Date	Approval	Info	Other
	Assign Comm				
	Rules Comm				
	Full Leg				

Internal Approvals			
Date & Init.	Approval	Date & Init.	Approval
<i>10/1/14</i>	Dept. Head		Counsel to C.E.
	Budget	<i>10/1/14</i> <i>SSJ</i>	County Atty.
	Deputy C.E.		County Exec.

### Narrative

#### Purpose:

This Requirements Contract provides for the emergency and routine repair, replacement and upgrade of County owned water mains and appurtenances that are beyond in-house capabilities. These facilities are required for the supply of both potable water and fire protection at County owned facilities and buildings. Several large water main offsets performed under this contract related to drainage work in Great Neck compounded by a large water main break at the Nassau County Jail have caused an rise in costs associated with this contract that are above the initial spending cap. Additional work is required at a number of County facilities including hydrant repair, replacement and relocations as well as some ongoing modifications necessary to make the system more functional.

#### Discussion:

One (1) year extension, unit price, requirements contract.

Advertising period - two weeks

Bids received on September 27, 2011

#### Impact on Funding:

An additional \$500,000.00 is requested for work within this contract. The current expiration date is November 15, 2015. Most water breaks occur in the colder months and the potential to be without an active contract in winter opens up a liability for these facilities. A new contract is in preparation for bid and any remaining funds will be disencumbered from the existing contract for use in the subsequent contract. Project funding will come from Capital Projects 90403 and General Fund 0120.

#### Recommendation:

Approve extension and increase as requested.

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE AN AMENDMENT TO A CONTRACT BETWEEN THE COUNTY OF NASSAU, ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS, AND BANCKER CONSTRUCTION CORP.

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau, on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS (“Department”) has received competitive bids for the original contract S80030Z, for REQUIREMENTS CONTRACT MAINTENANCE AND REPAIR OF WATER MAINS AND APPURTENANCES, VARIOUS LOCATIONS, NASSAU COUNTY, NY (“Contract”), as more particularly described in the contract documents, a copy of which is on file with the Clerk of the Legislature; and

WHEREAS, the Department is representing to the Rules Committee that the term of the original requirements contract is two (2) years, and the estimated expenditures under the original contract is \$500,000; and

WHEREAS, the firm of BANCKER CONSTRUCTION CORP., (“Vendor”) has submitted the lowest responsible (local) bid for the original

contract for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department; and

WHEREAS, the Commissioner of the Department is recommending to the Rules Committee that the amendment to the original contract to extend the term and increase the maximum amount of the original contract be awarded to the vendor; now; therefore, be it

RESOLVED, that the Rules Committee of the Nassau County Legislature, based on the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said amendment to the contract with the vendor.

George Maragos  
Comptroller



OFFICE OF THE COMPTROLLER  
240 Old Country Road  
Mineola, New York 11501

## COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

*Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.*

**CONTRACTOR NAME:** Bancker Construction Corp.  
**CONTRACTOR ADDRESS:** 218 Blydenburgh Road, Islandia, NY 11749  
**FEDERAL TAX ID #** 11-1876887

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**Instructions:** Please check the appropriate box ("☑") after one of the following roman numerals, and provide all the requested information.

**I. The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids.** The contract was awarded after a request for sealed bids was published in \_\_\_\_\_ [newspaper] on \_\_\_\_\_ [date]. The sealed bids were publicly opened on \_\_\_\_\_ [date]. \_\_\_\_\_ [#] of sealed bids were received and opened.

**II. ☐ The contractor was selected pursuant to a Request for Proposals.**

The Contract was entered into after a written request for proposals was issued on \_\_\_\_\_ [date]. Potential proposers were made aware of the availability of the RFP by \_\_\_\_\_ [newspaper advertisement, posting on website, mailing, etc.]. \_\_\_\_\_ [#] of potential proposers requested copies of the RFP. Proposals were due on \_\_\_\_\_ [date]. \_\_\_\_\_ [#] proposals were received and evaluated. The evaluation committee consisted of: \_\_\_\_\_

\_\_\_\_\_ [list members]. The proposals were scored and ranked. As a result of the scoring and ranking (attached), the highest-ranking proposer was selected.

**III. X This is a renewal, extension or amendment of an existing contract.**

The contract was originally executed by Nassau County on 5/7/12 [date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after open competitive bid – line item requirements contract. [describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

**IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.**

X A. The contract has been awarded to the proposer offering the lowest cost proposal; **OR:**

B. The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

**V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.**

☐ A. There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.

☐ B. The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).

☐ C. Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. \_\_\_\_\_, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

☐ D. Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

**VI. ☐ This is a human services contract with a not-for-profit agency for which a**

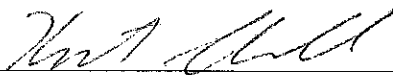
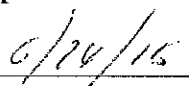
**competitive process has not been initiated.** Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

**VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services.** The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No.928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

***In addition, if this is a contract with an individual or with an entity that has only one or two employees:***

☐ a review of the criteria set forth by the Internal Revenue Service, *Revenue Ruling No. 87-41, 1987-1 C.B. 296*, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.

  
\_\_\_\_\_  
**Department Head Signature**  
  
\_\_\_\_\_  
**Date**

***NOTE:*** Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.

*Compt. form Pers./Prof. Services Contracts: Rev. 02/04*



CHPW12000007

Page	12	Encke's Comet
Page	43	Dir. Blydenburgh Road
	51	
	55	Islandia, N. L. 11744

	Invent Equipments - Equipment	80,000	00
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*Alfred C. Smith, Secy.*

<p>1. <u>DATE</u> <u>10/10/19</u></p> <p>2. <u>NAME</u> <u>John Doe</u></p> <p>3. <u>ADDRESS</u> <u>123 Main St</u></p> <p>4. <u>CITY</u> <u>Anytown</u></p> <p>5. <u>STATE</u> <u>CA</u></p> <p>6. <u>ZIP</u> <u>90210</u></p> <p>7. <u>PHONE</u> <u>555-1234</u></p> <p>8. <u>EMAIL</u> <u>john.doe@email.com</u></p> <p>9. <u>DOB</u> <u>01/01/1980</u></p> <p>10. <u>SSN</u> <u>123-45-6789</u></p> <p>11. <u>MOBILE</u> <u>555-9876</u></p> <p>12. <u>ALT</u> <u>555-5555</u></p> <p>13. <u>VEHICLE</u> <u>2015 Ford Focus</u></p> <p>14. <u>VEHICLE REG</u> <u>ABC123</u></p> <p>15. <u>VEHICLE VIN</u> <u>1F1P15T815A123456</u></p> <p>16. <u>VEHICLE COLOR</u> <u>Blue</u></p> <p>17. <u>VEHICLE MAKE</u> <u>Ford</u></p> <p>18. <u>VEHICLE MODEL</u> <u>Focus</u></p> <p>19. <u>VEHICLE YEAR</u> <u>2015</u></p> <p>20. <u>VEHICLE TYPE</u> <u>Sedan</u></p> <p>21. <u>VEHICLE ENGINE</u> <u>2.0L I4</u></p> <p>22. <u>VEHICLE TRANSMISSION</u> <u>Manual</u></p> <p>23. <u>VEHICLE DRIVE</u> <u>FWD</u></p> <p>24. <u>VEHICLE SEATBELT</u> <u>Yes</u></p> <p>25. <u>VEHICLE AIRBAG</u> <u>Yes</u></p> <p>26. <u>VEHICLE SAFETY</u> <u>Yes</u></p> <p>27. <u>VEHICLE INSURANCE</u> <u>Yes</u></p> <p>28. <u>VEHICLE LICENSE</u> <u>Yes</u></p> <p>29. <u>VEHICLE REGISTRATION</u> <u>Yes</u></p> <p>30. <u>VEHICLE TAXES</u> <u>Yes</u></p> <p>31. <u>VEHICLE MAINTENANCE</u> <u>Yes</u></p> <p>32. <u>VEHICLE REPAIRS</u> <u>Yes</u></p> <p>33. <u>VEHICLE ACCIDENTS</u> <u>Yes</u></p> <p>34. <u>VEHICLE DAMAGE</u> <u>Yes</u></p> <p>35. <u>VEHICLE THEFT</u> <u>Yes</u></p> <p>36. <u>VEHICLE LOSS</u> <u>Yes</u></p> <p>37. <u>VEHICLE TOTAL LOSS</u> <u>Yes</u></p> <p>38. <u>VEHICLE REPAIR COST</u> <u>Yes</u></p> <p>39. <u>VEHICLE REPAIR TIME</u> <u>Yes</u></p> <p>40. <u>VEHICLE REPAIR LOCATION</u> <u>Yes</u></p> <p>41. <u>VEHICLE REPAIR TYPE</u> <u>Yes</u></p> <p>42. <u>VEHICLE REPAIR PARTS</u> <u>Yes</u></p> <p>43. <u>VEHICLE REPAIR LABOR</u> <u>Yes</u></p> <p>44. <u>VEHICLE REPAIR TOTAL</u> <u>Yes</u></p> <p>45. <u>VEHICLE REPAIR DATE</u> <u>Yes</u></p> <p>46. <u>VEHICLE REPAIR TIME</u> <u>Yes</u></p> <p>47. <u>VEHICLE REPAIR LOCATION</u> <u>Yes</u></p> <p>48. <u>VEHICLE REPAIR TYPE</u> <u>Yes</u></p> <p>49. <u>VEHICLE REPAIR PARTS</u> <u>Yes</u></p> <p>50. <u>VEHICLE REPAIR LABOR</u> <u>Yes</u></p> <p>51. <u>VEHICLE REPAIR TOTAL</u> <u>Yes</u></p> <p>52. <u>VEHICLE REPAIR DATE</u> <u>Yes</u></p> <p>53. <u>VEHICLE REPAIR TIME</u> <u>Yes</u></p> <p>54. <u>VEHICLE REPAIR LOCATION</u> <u>Yes</u></p> <p>55. <u>VEHICLE REPAIR TYPE</u> <u>Yes</u></p> <p>56. <u>VEHICLE REPAIR PARTS</u> <u>Yes</u></p> <p>57. <u>VEHICLE REPAIR LABOR</u> <u>Yes</u></p> <p>58. <u>VEHICLE REPAIR TOTAL</u> <u>Yes</u></p> <p>59. <u>VEHICLE REPAIR DATE</u> <u>Yes</u></p> <p>60. <u>VEHICLE REPAIR TIME</u> <u>Yes</u></p> <p>61. <u>VEHICLE REPAIR LOCATION</u> <u>Yes</u></p> <p>62. <u>VEHICLE REPAIR TYPE</u> <u>Yes</u></p> <p>63. <u>VEHICLE REPAIR PARTS</u> <u>Yes</u></p> <p>64. <u>VEHICLE REPAIR LABOR</u> <u>Yes</u></p> <p>65. <u>VEHICLE REPAIR TOTAL</u> <u>Yes</u></p> <p>66. <u>VEHICLE REPAIR DATE</u> <u>Yes</u></p> <p>67. <u>VEHICLE REPAIR TIME</u> <u>Yes</u></p> <p>68. <u>VEHICLE REPAIR LOCATION</u> <u>Yes</u></p> <p>69. <u>VEHICLE REPAIR TYPE</u> <u>Yes</u></p> <p>70. <u>VEHICLE REPAIR PARTS</u> <u>Yes</u></p> <p>71. <u>VEHICLE REPAIR LABOR</u> <u>Yes</u></p> <p>72. <u>VEHICLE REPAIR TOTAL</u> <u>Yes</u></p> <p>73. <u>VEHICLE REPAIR DATE</u> <u>Yes</u></p> <p>74. <u>VEHICLE REPAIR TIME</u> <u>Yes</u></p> <p>75. <u>VEHICLE REPAIR LOCATION</u> <u>Yes</u></p> <p>76. <u>VEHICLE REPAIR TYPE</u> <u>Yes</u></p> <p>77. <u>VEHICLE REPAIR PARTS</u> <u>Yes</u></p> <p>78. <u>VEHICLE REPAIR LABOR</u> <u>Yes</u></p> <p>79. <u>VEHICLE REPAIR TOTAL</u> <u>Yes</u></p> <p>80. <u>VEHICLE REPAIR DATE</u> <u>Yes</u></p> <p>81. <u>VEHICLE REPAIR TIME</u> <u>Yes</u></p> <p>82. <u>VEHICLE REPAIR LOCATION</u> <u>Yes</u></p> <p>83. <u>VEHICLE REPAIR TYPE</u> <u>Yes</u></p> <p>84. <u>VEHICLE REPAIR PARTS</u> <u>Yes</u></p> <p>85. <u>VEHICLE REPAIR LABOR</u> <u>Yes</u></p> <p>86. <u>VEHICLE REPAIR TOTAL</u> <u>Yes</u></p> <p>87. <u>VEHICLE REPAIR DATE</u> <u>Yes</u></p> <p>88. <u>VEHICLE REPAIR TIME</u> <u>Yes</u></p> <p>89. <u>VEHICLE REPAIR LOCATION</u> <u>Yes</u></p> <p>90. <u>VEHICLE REPAIR TYPE</u> <u>Yes</u></p> <p>91. <u>VEHICLE REPAIR PARTS</u> <u>Yes</u></p> <p>92. <u>VEHICLE REPAIR LABOR</u> <u>Yes</u></p> <p>93. <u>VEHICLE REPAIR TOTAL</u> <u>Yes</u></p> <p>94. <u>VEHICLE REPAIR DATE</u> <u>Yes</u></p> <p>95. <u>VEHICLE REPAIR TIME</u> <u>Yes</u></p> <p>96. <u>VEHICLE REPAIR LOCATION</u> <u>Yes</u></p> <p>97. <u>VEHICLE REPAIR TYPE</u> <u>Yes</u></p> <p>98. <u>VEHICLE REPAIR PARTS</u> <u>Yes</u></p> <p>99. <u>VEHICLE REPAIR LABOR</u> <u>Yes</u></p> <p>100. <u>VEHICLE REPAIR TOTAL</u> <u>Yes</u></p> <p>101. <u>VEHICLE REPAIR DATE</u> <u>Yes</u></p> <p>102. <u>VEHICLE REPAIR TIME</u> <u>Yes</u></p> <p>103. <u>VEHICLE REPAIR LOCATION</u> <u>Yes</u></p> <p>104. <u>VEHICLE REPAIR TYPE</u> <u>Yes</u></p> <p>105. <u>VEHICLE REPAIR PARTS</u> <u>Yes</u></p> <p>106. <u>VEHICLE REPAIR LABOR</u> <u>Yes</u></p> <p>107. <u>VEHICLE REPAIR TOTAL</u> <u>Yes</u></p> <p>108. <u>VEHICLE REPAIR DATE</u> <u>Yes</u></p> <p>109. <u>VEHICLE REPAIR TIME</u> <u>Yes</u></p> <p>110. <u>VEHICLE REPAIR LOCATION</u> <u>Yes</u></p> <p>111. <u>VEHICLE REPAIR TYPE</u> <u>Yes</u></p> <p>112. <u>VEHICLE REPAIR PARTS</u> <u>Yes</u></p> <p>113. <u>VEHICLE REPAIR LABOR</u> <u>Yes</u></p> <p>114. <u>VEHICLE REPAIR TOTAL</u> <u>Yes</u></p> <p>115. <u>VEHICLE REPAIR DATE</u> <u>Yes</u></p> <p>116. <u>VEHICLE REPAIR TIME</u> <u>Yes</u></p> <p>117. <u>VEHICLE REPAIR LOCATION</u> <u>Yes</u></p> <p>118. <u>VEHICLE REPAIR TYPE</u> <u>Yes</u></p> <p>119. <u>VEHICLE REPAIR PARTS</u> <u>Yes</u></p> <p>120. <u>VEHICLE REPAIR LABOR</u> <u>Yes</u></p> <p>121. <u>VEHICLE REPAIR TOTAL</u> <u>Yes</u></p> <p>122. <u>VEHICLE REPAIR DATE</u> <u>Yes</u></p> <p>123. <u>VEHICLE REPAIR TIME</u> <u>Yes</u></p> <p>124. <u>VEHICLE REPAIR LOCATION</u> <u>Yes</u></p> <p>125. <u>VEHICLE REPAIR TYPE</u> <u>Yes</u></p> <p>126. <u>VEHICLE REPAIR PARTS</u> <u>Yes</u></p> <p>127. <u>VEHICLE REPAIR LABOR</u> <u>Yes</u></p> <p>128. <u>VEHICLE REPAIR TOTAL</u> <u>Yes</u></p> <p>129. <u>VEHICLE REPAIR DATE</u> <u>Yes</u></p> <p>130. <u>VEHICLE REPAIR TIME</u> <u>Yes</u></p> <p>131. <u>VEHICLE REPAIR LOCATION</u> <u>Yes</u></p> <p>132. <u>VEHICLE REPAIR TYPE</u> <u>Yes</u></p> <p>133. <u>VEHICLE REPAIR PARTS</u> <u>Yes</u></p> <p>134. <u>VEHICLE REPAIR LABOR</u> <u>Yes</u></p> <p>135. <u>VEHICLE REPAIR TOTAL</u> <u>Yes</u></p> <p>136. <u>VEHICLE REPAIR DATE</u> <u>Yes</u></p> <p>137. <u>VEHICLE REPAIR TIME</u> <u>Yes</u></p> <p>138. <u>VEHICLE REPAIR LOCATION</u> <u>Yes</u></p> <p>139. <u>VEHICLE REPAIR TYPE</u> <u>Yes</u></p> <p>140. <u>VEHICLE REPAIR PARTS</u> <u>Yes</u></p> <p>141. <u>VEHICLE REPAIR LABOR</u> <u>Yes</u></p> <p>142. <u>VEHICLE REPAIR TOTAL</u> <u>Yes</u></p> <p>143. <u>VEHICLE REPAIR DATE</u> <u>Yes</u></p> <p>144. <u>VEHICLE REPAIR TIME</u> <u>Yes</u></p> <p>145. <u>VEHICLE REPAIR LOCATION</u> <u>Yes</u></p> <p>146. <u>VEHICLE REPAIR TYPE</u> <u>Yes</u></p> <p>147. <u>VEHICLE REPAIR PARTS</u> <u>Yes</u></p> <p>148. <u>VEHICLE REPAIR LABOR</u> <u>Yes</u></p> <p>149. <u>VEHICLE REPAIR TOTAL</u> <u>Yes</u></p>
--

**COUNTY OF NASSAU**  
**DEPARTMENT OF PUBLIC WORKS**  
**Inter-Departmental Memo**

**TO:** Office of the County Executive  
Att: Richard R. Walker, Chief Deputy County Executive

**FROM:** Department of Public Works

**DATE:** June 21, 2015

**SUBJECT:** Approval of Contract Advisement

This Department is requesting approval of the following increase to an existing encumbrance for additional services regarding the replacement, repair and maintenance of County owned water mains and appurtenances.

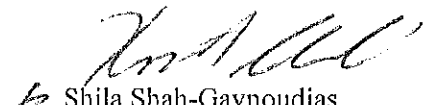
**REQUIREMENTS CONTRACT**  
**MAINTENANCE AND REPAIR OF WATER MAINS AND APPURTENANCES**  
**VARIOUS LOCATIONS, NASSAU COUNTY, NY S80030Z**

**\$500,000.00 ENCUMBRANCE INCREASE**

This is an encumbrance increase to the existing requirements contract for the maintenance and repair of water mains and appurtenances throughout Nassau County, Contract No. S80030Z. The work to be performed under this encumbrance increase includes the replacements, repair and re-routing of County owned water mains and the installation, repair and replacement of hydrants and other appurtenances as well as testing of hydrants.

Funding for these services is available in Capital Project No. 90403 and General Fund PW0120.

If you approve or disapprove of the above request, please signify below and return the memo to this office for appropriate action.

  
Shila Shah-Gavnoudias  
Commissioner

SSG:KGA:

c: Kenneth G. Arnold, Assistant to Commissioner

APPROVED:

DISAPPROVED:

  
\_\_\_\_\_  
Richard R. Walker  
Chief Deputy County Executive

Date

\_\_\_\_\_  
Richard R. Walker  
Chief Deputy County Executive

Date





# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

7/1/2014

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

<b>PRODUCER</b> Treiber Group AJ Gallagher Risk Mgmt Services 377 Oak Street Garden City NY 11530-0601		<b>CONTACT NAME:</b> Sheila Maher <b>PHONE (A/C, No, Ext):</b> 516-745-0800 <b>E-MAIL ADDRESS:</b> info@etreiber.com <b>FAX (A/C, No):</b> 516-745-0082	
<b>INSURED</b> Bancker Construction Corp. 218 Blydenburgh Road Islandia NY 11749		<b>INSURER(S) AFFORDING COVERAGE</b> INSURER A: Old Republic General Insurance Corp INSURER B: National Union Fire Ins Co Pittsbur INSURER C: INSURER D: INSURER E: INSURER F:	
BANCCONS1		NAIC # 24139 19445	

**COVERAGES**

CERTIFICATE NUMBER: 134193152

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL/SUBR/INSR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<b>GENERAL LIABILITY</b> <input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> Contractual Liab GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PRO-JECT <input checked="" type="checkbox"/> LOC	Y	A2CG98121304	7/1/2014	7/1/2015	EACH OCCURRENCE \$2,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$100,000 MED EXP (Any one person) \$10,000 PERSONAL & ADV INJURY \$2,000,000 GENERAL AGGREGATE \$4,000,000 PRODUCTS - COMP/OP AGG \$4,000,000 \$
A	<b>AUTOMOBILE LIABILITY</b> <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS		A2CA98121304	7/1/2014	7/1/2015	COMBINED SINGLE LIMIT (Ea accident) \$1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
B	<b>UMBRELLA LIAB</b> <input checked="" type="checkbox"/> OCCUR <b>EXCESS LIAB</b> <input type="checkbox"/> CLAIMS-MADE DED <input checked="" type="checkbox"/> RETENTION \$10,000		BE017545681	7/1/2014	7/1/2015	EACH OCCURRENCE \$10,000,000 AGGREGATE \$10,000,000 \$
A	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b> ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N <input type="checkbox"/> N/A	A2CW98121304	7/1/2014	7/1/2015	<input checked="" type="checkbox"/> WC STATUTORY LIMITS E.L. EACH ACCIDENT \$1,000,000 E.L. DISEASE - EA EMPLOYEE \$1,000,000 E.L. DISEASE - POLICY LIMIT \$1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

Additional Insured Owners Lessors or Contractors - Where required by written contract CG2010 7/04 & CG2037 7/04  
Project: Super Storm Sandy Remedial Work for Irrigation Systems at North Woodmere & Bay Parks Contract No. B41844-01P  
Nassau County DPW is included as additional insured where required by written contract.

**CERTIFICATE HOLDER****CANCELLATION**

Nassau County DPW  
1550 Franklin Ave.  
Mineola NY 11501

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

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STATE OF NEW YORK  
WORKERS' COMPENSATION BOARD

**CERTIFICATE OF NYS WORKERS' COMPENSATION INSURANCE COVERAGE**

<b>1a. Legal Name &amp; Address of Insured (Use street address only)</b> Bancker Construction Corp. 218 Blydenburgh Road Islandia NY 11749  <b>Work Location of Insured (Only required if coverage is specifically limited to certain locations in New York State, i.e., a Wrap-Up Policy)</b>	<b>1b. Business Telephone Number of Insured</b> 631-582-8880 <b>1c. NYS Unemployment Insurance Employer Registration Number of Insured</b>  <b>1d. Federal Employer Identification Number of Insured or Social Security Number</b> 111876887
<b>2. Name and Address of the Entity Requesting Proof of Coverage (Entity Being Listed as the Certificate Holder)</b>  Nassau County DPW 1550 Franklin Ave. Mineola NY 11501	<b>3a. Name of Insurance Carrier</b> Old Republic General Insurance Corp <b>3b. Policy Number of entity listed in box "1a"</b> A2CW98121304 <b>3c. Policy effective period</b> 7/1/2014 to 7/1/2015  <b>3d. The Proprietor, Partners or Executive Officers are</b> <input checked="" type="checkbox"/> included. (Only check box if all partners/officers included) all excluded or certain partners/officers excluded.


This certifies that the insurance carrier indicated above in box "3" insures the business referenced above in box "1a" for workers' compensation under the New York State Workers' Compensation Law. (To use this form, New York (NY) must be listed under Item 3A on the **INFORMATION PAGE** of the workers' compensation insurance policy). The Insurance Carrier or its licensed agent will send this Certificate of Insurance to the entity listed above as the certificate holder in box "2".

*The Insurance Carrier will also notify the above certificate holder within 10 days IF a policy is canceled due to nonpayment of premiums or within 30 days IF there are reasons other than nonpayment of premiums that cancel the policy or eliminate the insured from the coverage indicated on this Certificate. (These notices may be sent by regular mail.) Otherwise, this Certificate is valid for one year after this form is approved by the insurance carrier or its licensed agent, or until the policy expiration date listed in box "3c", whichever is earlier.*

**Please Note: Upon the cancellation of the workers' compensation policy indicated on this form, if the business continues to be named on a permit, license or contract issued by a certificate holder, the business must provide that certificate holder with a new Certificate of Workers' Compensation Coverage or other authorized proof that the business is complying with the mandatory coverage requirements of the New York State Workers' Compensation Law.**

**Under penalty of perjury, I certify that I am an authorized representative or licensed agent of the insurance carrier referenced above and that the named insured has the coverage as depicted on this form.**

Approved by: Peter Treiber  
(Print name of authorized representative or licensed agent of insurance carrier)

Approved by:  7/1/2014  
(Signature) (Date)

Title: Senior Vice President

Telephone Number of authorized representative or licensed agent of insurance carrier: 516-745-0800

**Please Note: Only insurance carriers and their licensed agents are authorized to issue Form C-105.2. Insurance brokers are NOT authorized to issue it.**

## **Workers' Compensation Law**

### **Section 57. Restriction on issue of permits and the entering into contracts unless compensation is secured.**

1. The head of a state or municipal department, board, commission or office authorized or required by law to issue any permit for or in connection with any work involving the employment of employees in a hazardous employment defined by this chapter, and notwithstanding any general or special statute requiring or authorizing the issue of such permits, shall not issue such permit unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that compensation for all employees has been secured as provided by this chapter. Nothing herein, however, shall be construed as creating any liability on the part of such state or municipal department, board, commission or office to pay any compensation to any such employee if so employed.

2. The head of a state or municipal department, board, commission or office authorized or required by law to enter into any contract for or in connection with any work involving the employment of employees in a hazardous employment defined by this chapter, notwithstanding any general or special statute requiring or authorizing any such contract, shall not enter into any such contract unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that compensation for all employees has been secured as provided by this chapter.

## AMENDMENT NO. 1

This AMENDMENT (this "Amendment"), made and entered as of the date on which this Amendment is last executed by the parties hereto, by and between (i) Nassau County, municipal corporation having its principal office at 1550 Franklin Avenue, Mineola, New York 11501 (the "County"), acting on behalf of the Department of Public Works having its principal office at 1194 Prospect Avenue, Westbury New York 11590 (the "Department"), and (ii) Bancker Construction Corp., 218 Blydenburgh Road, Islandia, New York 11749 ( the "Contractor").

### W I T N E S S E T H:

WHEREAS, pursuant to County contract number S80030Z between the County and the Contractor, (the "Original Agreement"), the Contractor performed services including but not limited to water main repair, and such services more fully described in the Original Agreement (the services contemplated by the Original Agreement, the "Services");

WHEREAS, the term of the Original Agreement, with an allowable on year extension is November 15, 2015 ("Agreement Term");

WHEREAS, the maximum amount that the County agreed to reimburse the Contractor for Services pursuant to the terms of the Original Agreement, is ("Maximum Amount"); five hundred thousand dollars \$500,000.00

WHEREAS, the County and the Contractor desire to amend the Agreement Term and the Maximum Amount; and

NOW, THEREFORE, in consideration of the premises and mutual covenants contained in this Amendment, the parties agree as follows:

1. Amended Maximum Amount. The Maximum Amount is amended to add an additional five hundred thousand dollars (\$500,000.00), so that the total Maximum Amount the County shall pay the Contractor shall not exceed one million dollars (\$1,000,000.00) ("Amended Maximum Amount").
2. Amended Term. The Agreement Term is amended to extend the contract duration for an additional twelve months, and will terminate on November 14, 2016 ("Amended Term").
3. Full Force and Effect. All the terms and conditions of the Original Agreement not expressly amended by this Amendment shall remain in full force and effect and govern the relationship of the parties for the term of the amended Agreement.

REMAINDER OF PAGE INTENTIONALLY LEFT BLANK]

IN WITNESS WHEREOF, the parties have executed this Amendment as of the date first above written.

Banker Construction Corp.

By: 

Name: MICHAEL S. BEYER

Title: PRESIDENT

Date: 6/22/15

NASSAU COUNTY

By: 

Name: Charles Roberts

Title: Deputy County Executive

Date: 7/11/15

PLEASE EXECUTE IN BLUE INK

STATE OF NEW YORK)

)ss.:

COUNTY OF NASSAU )

On the 22 day of June in the year 2015 before me personally came MICHAEL S. BEYER to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of Nassau; that he or she is the PRESIDENT of BANCKER CONSTRUCTION CORP, the corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto by authority of the board of directors of said corporation.

NOTARY PUBLIC



**MAUREEN ENGEL**  
Notary Public, State of New York  
No. 01EN4942537  
Qualified in Suffolk County  
Commission Expires Sept. 26, 2018

STATE OF NEW YORK)

)ss.:

COUNTY OF NASSAU )

On the \_\_\_\_ day of \_\_\_\_\_ in the year 2013 before me personally came \_\_\_\_\_ to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of \_\_\_\_\_; that he or she is a Deputy County Executive of the County of Nassau, the municipal corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto pursuant to Section 205 of the County Government Law of Nassau County.

NOTARY PUBLIC





## Staff Summary

Subject: Requirements Contract – Water Mains
Department: Public Works
Department Head Name: Shila Shah-Gaynoudias, Commissioner
Department Head Signature 
Project Manager Name: Scott Urban Phone Number: 516-571-6967

Date: December 7, 2011
Vendor Name: Bancker Construction Corp.
Contract No.: S80030Z
Personal Services _____ Blanket Res _____ Calendar _____ Bid 9/27/11 Rules Comm.
Contract Manager Name: Michael Beyer Phone Number: 631-582-8880

Proposed Legislative Action					
	To	Date	Approval	Info	Other
	Assgn Comm				
	Rules Comm				
	Full Leg				

Internal Approvals			
Date & Init.	Approval	Date & Init.	Approval
12/7/11 SI	Dept. Head		Counsel to C.E.
	Budget		County Atty.
	Deputy C.E.		County Exec.

### Narrative

#### Purpose:

This Requirements Contract provides for the emergency and routine repair, replacement and upgrade of County owned water mains and appurtenances that are beyond in-house capabilities. These facilities are required for the supply of both potable water and fire protection at County owned facilities and buildings.

#### Discussion:

Two (2) year, unit price, Requirements Contract.  
Advertising period – two (2) weeks  
Bids received on September 27, 2011

#### Impact on Funding:

The Engineer's estimate for this contract is \$260,659.13. Project funding is included in the 2011 – 2014 proposed spending plan from Capital Projects 90403 and General Fund 0120.

#### Recommendation:

Award as bid.

B03-12

RO 134 -2012

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND BANCKER CONSTRUCTION CORP.

**Passed by the Rules Committee**

**Nassau County Legislature**

By Voice Vote on 5-7-12

VOTING:

ayes 7 nays 0 abstained 0 recused 0

Legislators present: 7

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS ["Department"] has received competitive bids for contract S80030Z, for REQUIREMENTS CONTRACT MAINTENANCE AND REPAIR OF WATER MAINS AND APPURTENANCES, VARIOUS LOCATIONS, NASSAU COUNTY, NY ["Contract"], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the Department is representing to the Rules Committee that the term of this requirements contract is two (2) years, and the estimated expenditures under this contract is \$500,000.00 and

WHEREAS, the firm of BANCKER CONSTRUCTION CORP.,

["Vendor"] has submitted the lowest responsible (local) bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the Commissioner of the Department is recommending to the Rules Committee that the contract be awarded to the vendor; now; therefore, be it

RESOLVED, that the Rules Committee of the Nassau County Legislature, based on the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said contract with the vendor.

:

B49-11

RO -2011

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND BANCKER CONSTRUCTION CORP.

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS ["Department"] has received competitive bids for contract S80030Z, for REQUIREMENTS CONTRACT MAINTENANCE AND REPAIR OF WATER MAINS AND APPURTENANCES, VARIOUS LOCATIONS, NASSAU COUNTY, NY ["Contract"], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the Department is representing to the Rules Committee that the term of this requirements contract is two (2) years, and the estimated expenditures under this contract is \$500,000.00 and

WHEREAS, the firm of BANCKER CONSTRUCTION CORP.,

["Vendor"] has submitted the lowest responsible (local) bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the Commissioner of the Department is recommending to the Rules Committee that the contract be awarded to the vendor; now, therefore, be it

RESOLVED, that the Rules Committee of the Nassau County Legislature, based on the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said contract with the vendor.

COUNTY OF NASSAU  
Inter-Departmental Memo

**TO:** Office of the County Executive  
Att: Rob Walker, Chief Deputy County Executive

**FROM:** Department of Public Works

**DATE:** December 7, 2011

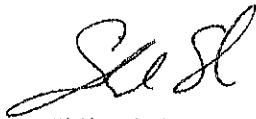
**SUBJECT: RECOMMENDATION OF AWARD**

**Contract No: S80030Z**

**Title: REQUIREMENTS CONTRACT-MAINTENANCE AND REPAIR OF WATER  
MAINS AND APPURTENANCES, VARIOUS LOCATIONS, NASSAU  
COUNTY, NY**

**Bids received on: September 27, 2011**

I have examined the bids submitted for the contract mentioned above. Finding them to be in order, I recommend this contract be awarded to **Bancker Construction Corp.**, as the lowest responsible bidder with a total comparison bid amount of **\$268,402.60**. In order to facilitate processing of the above referenced contract, I request that the attached "Request to Initiate" form be approved.



Shila Shah-Gavnoudias  
Commissioner



**REQUEST TO INITIATE  
REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID  
CONTRACT**

**PART I:** Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC

☐ RFQ      ☐ RFP      ☒ RFBC

Project No. S80030Z

Project Title: Requirements Contract,  
Maintenance and Repair of Water  
Mains and Appurtenances, Various  
Locations.

Department: Public Works

Date: May 11, 2011

Project Manager: Scott W. Urban

Service Requested: Maintenance and repair of County owned water mains, valves, hydrants, etc., beyond the scope of in house services available. This will be a two (2) year requirements contract.

Justification: Nassau County owns and maintains approximately 72 miles of water mains, over 450 hydrants and in excess of 800 valves. Preventative maintenance and timely repairs are crucial to providing potable water and fire protection to County owned buildings and recreational facilities.

Estimated Construction Cost: \$ 500,000.00

Date RFBC Due:

Department Head Approval:

☒ YES      ☐ NO

CDCE/Ops Approval:

☐ YES      ☐ NO

SIGNATURE

SIGNATURE

**PART II:** To be submitted to Chief Deputy County Executive for Operations after Qualifications/Proposals/Contracts are received from Responding vendors.

	Vendor	Quote	Comment
1.			
2.			
3.			
4.			
5.			
6.			
7.			
8.			

CDCE/Ops Approval:      YES      NO      Signature \_\_\_\_\_  
SSG:KGA:JLD:cs

Nassau DPW B.I.D.S. - Summary of Bid Opening

S80030Z - General Contracting  
Maintenance and Repair of Water Mains and Appurtenances

12/7/2011

Bid Opening: 9/27/2011

Engineer: Scott Urban Phone: (516) 571-6967

Contractor	Address	Insurance	Payment	Bid Amount	Alternate Bid
Bancker Construction Corp.	218 Blydenburgh Road Islandia, NY 11749	Travelers Casualty & Surety Co.	50000	\$268,402.60	\$0.00



**COUNTY OF NASSAU**  
**DEPARTMENT OF PUBLIC WORKS**  
**Inter-Departmental Memo**

**TO:** Division of Administration  
Att: Jonathan Lesman, Management Analyst II

**FROM:** Kenneth G. Arnold, Assistant to Commissioner

**DATE:** December 8, 2011

**SUBJECT:** RECOMMENDATION OF AWARD

Contract Number: S80030Z

Title: Requirements Contract – Maintenance and Repair of Water Mains and Appurtenances, Nassau County, Various Locations

Engineer's Estimate: \$260,659.13

Bids Received On: September 27, 2011

The bids received for the above-referenced contract have been examined and the bid submitted by BANCKER CONSTRUCTION CORP., in the amount of \$268,402.60 is acceptable as the lowest responsible bidder.

The low bid is within ten (10) percent of the Engineer's estimate, and adequate funds are available (Capital Project Number 90403 and Operating Budget PWGEN0120). It is requested that the attached Recommendation of Award be signed and forwarded to the County Executive for his action.

Attached, herewith, please find a completed Contract Summary form for your information and use.



Kenneth G. Arnold  
Assistant to Commissioner

KGA:JLD:cs  
Attachment

c: Rakhal D. Maitra, Deputy Commissioner  
Joseph L. Davenport, Unit Head, Water/Wastewater Engineering Unit  
Scott W. Urban, Superintendent of Storm Water Basins



Copy

**COUNTY OF NASSAU  
DEPARTMENT OF PUBLIC WORKS  
Inter-Departmental Memo**

**TO:** Civil Service Employees Association, Nassau Local 830 (fax 742-3801)  
**FROM:** Department of Public Works (fax 571-9657)  
**DATE:** July 5, 2011  
**SUBJECT:** CSEA Notification of Proposed DPW Contract  
Requirements Contract – Maintenance and Repair Water Mains and Appurtenances  
Proposed Contract Number: S80030Z

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW contract/agreement is for the work which has "historically and exclusively been performed by bargaining unit members."

1. DPW plans to recommend a contract/agreement for the following services:  
  
Requirements Contract for Maintenance and Repair of Water Mains and Appurtenances at various County locations and properties.
2. The work involves the following:  
  
Emergency and routine repair and maintenance of water mains and appurtenances that are beyond in-house capabilities. This contract is the only available mechanism for the County to provide the necessary maintenance services at all County-owned water mains.
3. An estimate of the cost is: \$500,000.00
4. An estimate of the construction duration is: Two (2) Year Agreement

Should you wish to propose an alternative to the proposed contract/agreement, please respond within 10 days to: Department of Public Works, Att: Kenneth G. Arnold, Assistant to Commissioner, telephone 1-9607, fax 1-9657.

Kenneth G. Arnold  
Assistant to Commissioner

KGA:cs

c: Keith Cromwell, Office of Labor Relations  
Jonathan Lesman, Management Analyst II  
Scott W. Urban, Superintendent of Storm Water Basins

We are transmitting one (1) sheet. If there were problems with this transmission, call 571-9604.



PROPOSAL  
To the County of Nassau  
REQUIREMENTS CONTRACT  
MAINTENANCE AND REPAIR OF WATER MAINS AND APPURTENANCES  
VARIOUS LOCATIONS  
NASSAU COUNTY, NEW YORK

Contract No. S80030Z

TO THE COUNTY OF NASSAU:

Pursuant to and in compliance with your Advertisement for Bids and the Instructions to Bidders relating thereto, the undersigned hereby proposes to furnish all plant, labor, materials, supplies, equipment and other facilities necessary or proper for or incidental to the above Contract, as required by and in strict accordance with the plans and specifications for the amount named in the proposal hereinafter described.

In making this proposal the Bidder hereby declares that the Addenda which has been issued by the County of Nassau and has been received by him, that all provisions thereof have been complied with in preparing his bids.

Name of Bidder: BANKER CONSTRUCTION CORP  
(Individual, Firm or Corporation as case may be)  
Bidder's Address: 218 Blydenburgh Road  
Islandia, NY 11749  
Telephone: (631) 582-8880 Date: 9/27/11  
FAX Tele: (631) 582-3698 E-Mail: sbejer@banker.com

NOTE: IF BIDDER IS A FIRM, FILL IN THE FOLLOWING BLANKS:

Name of Partners

Residence of Partners

NOTE: IF BIDDER IS A CORPORATION, FILL IN THE FOLLOWING BLANKS:

Organized under the laws of the State of: New York

Name of President: STEPHEN M. BEYER

President's Domicile: 151 Bacon Rd.  
Old Westbury, NY 11568

Name of Vice Pres: MICHAEL S. BEYER

Vice Pres's Domicile: 95 Brookville Lane  
Old Brookville, NY 11545

Corporate Officer: STEPHEN M. BEYER Title: Treasurer  
151 Bacon Rd.

Corporate Officer's Domicile: Old Westbury, NY 11568

Corporate Officer: MICHAEL S. BEYER Title: SECRETARY

Corporate Officer's Domicile: 95 Brookville Lane  
Old Brookville, NY 11545

The Following Paragraphs are Applicable to the Contract

THE BIDDER AFFIRMS AND DECLARES:

1. That the above bidder is of lawful age and the only one interested in this bid; and that no other person, firm or corporation, except those herein named, has any interest in this bid or in the Contract proposed to be entered into.
2. That this bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a bid for the same work, and is in all respects fair and without collusion or fraud.
3. That said bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety or otherwise, upon any obligation to the County of Nassau.
4. That no officer nor employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or become interested directly, or indirectly as a contracting party, partner, stockholder, surety or otherwise, in this bid, or in the performance of the contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof.
5. That he has carefully examined the site of the work and that, from his own investigations, has satisfied himself as to the nature and location of the work, the character, quality and quantity of existing materials, all difficulties likely to be encountered, the kind and extent of equipment, other facilities needed for the performance of the work, the general and local conditions, and all other items which may, in any way, affect the work or its performance.
6. The bidder also declares that he has carefully examined and fully understands all the component parts of this Contract, that he will execute the Contract and will completely perform it in strict accordance with its terms for the following prices.
7. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay or North Hempstead or by the Cities Glen Cove or Long Beach, the contractor shall be required to have such a license.

## INFORMATION FOR BIDDERS

### I. Rejection of Bids.

#### A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if
2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
3. The bid does not strictly conform to law or the requirements of this contract; or if
4. The bid is conditional; or if
5. The bid on Unit Price Contracts, in the opinion of the Commissioner, contains unbalanced bid prices, where the unit price proposed for any item exceeds the estimated cost by more than fifteen percent (15%), or if any lump sum item bid exceeds the estimated cost by more than twenty-five percent (25%); or if
6. A determination that the bidder is not responsible is made in accordance with law.

#### B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

### II. Unit Price Contracts, Comparison of Bids.

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of such item multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

### III. Lump Sum Contracts, Comparison of Bids.

Bids on Lump Sum Contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate prices bid, if any.

### IV. Apprenticeship Training Program

For all contracts in excess of \$500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS	CENTS	BID FACTOR	AMOUNT BID DOLLARS	CENTS
2x	1 CY	Unclassified Excavation FOR <u>Two</u> DOLLARS CENTS	2	—	0.5	1	—
3AX (0-10 CY)	1 CY	Trench, Culvert & Bridge Excavation FOR <u>Sixty</u> DOLLARS CENTS	60	—	125	7500	—
3BX (11-25 CY)	1 CY	Trench, Culvert & Bridge Excavation FOR <u>Eighty Eight</u> DOLLARS CENTS	88	—	100	8800	—
3CX (26 CY & over)	1 CY	Trench, Culvert & Bridge Excavation FOR <u>Seventy Eight &amp; Fifty Cents</u> DOLLARS CENTS	78	50	50	3925	—
4A	1 SY	Cement Concrete Breaking - Pavement FOR <u>Four</u> DOLLARS CENTS	4	—	0.5	2	—
4B	1 CY	Cement Concrete Breaking - Structures FOR <u>Six</u> DOLLARS CENTS	6	—	0.5	3	—
5A	1 CY	Embankment in Place FOR <u>Three</u> DOLLARS CENTS	3	—	0.5	1	50
5B	1 CY	Borrow Fill FOR <u>Three</u> DOLLARS CENTS	3	—	0.5	1	50

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE		BID FACTOR	AMOUNT BID	
			DOLLARS	CENTS		DOLLARS	CENTS
5C	1 CY	Selected Fill FOR: <u>Sixteen</u> DOLLARS CENTS	16	—	2	32	—
5D	1 CY	Selected Granular Fill FOR: <u>Twenty</u> DOLLARS CENTS	20	—	3	60	—
6	1 CYM	Trucking FOR: <u>Two</u> DOLLARS CENTS	2	—	0.5	1	—
7	1 SY	Preparing Fine Grades FOR: <u>One</u> DOLLARS CENTS	1	—	0.5	0	50
9A	1 CY	Topsoil (Supplied) FOR: <u>Eleven</u> DOLLARS CENTS	11	—	0.5	5	50
9B	1 CY	Topsoil (Rehauled) FOR: <u>Four</u> DOLLARS CENTS	4	—	0.5	2	—
10A	1 SF	Temporary Sheeting & Bracing FOR: <u>Ten</u> DOLLARS CENTS	10	—	0.5	5	—
10A-1	1 SF	Excavation Protection System FOR: <u>Five</u> DOLLARS CENTS	5	—	10	50	—

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS CENTS	BID FACTOR	AMOUNT BID DOLLARS CENTS
10B	1 SF	Temporary Sheeting & Bracing ordered left in place FOR <u>Two</u> DOLLARS CENTS	2 —	0.5	1 —
10C-1	1 SF	Permanent Corrugated Metal Sheeting and Bracing FOR <u>Three</u> DOLLARS CENTS	3 —	0.5	1 50
10C-2	1 SF	Permanent Steel Sheet Piling & Bracing FOR <u>Nine</u> DOLLARS CENTS	9 —	0.5	4 50
10C-3	1 SF	Permanent Timber Sheet Piling and Bracing FOR <u>Two</u> DOLLARS CENTS	2 —	0.5	1 —
17	1 CY	Class A Concrete for Structures FOR <u>Fifty</u> DOLLARS CENTS	50 —	0.5	25 —
20	1 CY	Broken Stone, Loose Measure FOR <u>Twenty Two</u> DOLLARS CENTS	22 —	0.5	11 —
21	1 CY	Limestone Screenings FOR <u>Three</u> DOLLARS CENTS	3 —	0.5	1 50
22C-A (0-25 Ton)	1 Ton	Base Course Asphalt Concrete (Type 1A: 45 SD) FOR <u>Fifty</u> DOLLARS CENTS	50 —	0.5	25 —



CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE	BID FACTOR	AMOUNT BID	
			DOLLARS		DOLLARS	CENTS
22C-B (26-50 Ton)	1 Ton	Base Course Asphalt Concrete (Type 1A, 45 SN) FOR <u>Forty</u> DOLLARS CENTS	40 —	0.5	20	—
23	1 CY	Concrete Foundation for Pavement FOR <u>ND 4 one cent</u> DOLLARS CENTS	0 01 —	0.5	0	01 —
24	1 CY	Cement Concrete Paving FOR <u>Fifty</u> DOLLARS CENTS	50 —	5	250	—
26S	1 LF	Concrete Curb, Special FOR <u>Thirty</u> DOLLARS CENTS	30 —	3	90	—
27	1 SF	Cement Concrete Sidewalk FOR <u>Twelve &amp; Fifty cents</u> DOLLARS CENTS	12 50 —	75	937	50 —
28	1 SF	Cement Concrete Driveway and Driveway Aprons FOR <u>Twelve &amp; Seventy Five</u> DOLLARS CENTS	12 75 —	0.5	6	38 —
30	1 SF	Metal Reinforcement for Concrete Pavement FOR <u>Two</u> DOLLARS CENTS	2 —	0.5	1	—
33	1 LB	Bar Reinforcement for Structures FOR <u>One</u> DOLLARS CENTS	1 —	0.5	0	50 —

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS	CENTS	BID FACTOR	AMOUNT BID DOLLARS	CENTS
36S-A (0-25 Ton)	1 Ton	Asphalt Concrete, Special FOR <u>Thirty</u> DOLLARS CENTS	30	—	0.5	15	—
36S-B (26-50 Ton)	1 Ton	Asphalt Concrete, Special FOR <u>Thirty</u> DOLLARS CENTS	30	—	0.5	15	—
58	1 LF	Saw Cutting Existing Concrete FOR <u>Nine</u> DOLLARS CENTS	9	—	0.5	4	50
58X	1 LF	Saw Cutting Existing Asphalt FOR <u>Nine</u> DOLLARS CENTS	9	—	0.5	4	50
94C	1 BF	Timber and Lumber FOR <u>Two</u> DOLLARS CENTS	2	—	0.5	1	—
102x	1 Day	Maintenance & Protection of Traffic FOR <u>One Hundred Fifty</u> DOLLARS CENTS	150	—	3	450	—
500	1 SY	Sodding FOR <u>Ten</u> DOLLARS CENTS	10	—	0.5	5	—
504	1 SY	Grass Seeding FOR <u>Four</u> DOLLARS CENTS	4	—	0.5	2	—

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE		BID FACTOR	AMOUNT BID	
			DOLLARS	CENTS		DOLLARS	CENTS
506	1 Ea.	Test Hole FOR Twenty DOLLARS CENTS	20	—	0.5	10	—
507	SY	Temporary Pavement FOR Thirty Eight DOLLARS CENTS	38	—	0.5	19	—
510	LS	Force Account Work FOR Ten Thousand DOLLARS CENTS	10,000	00	LS	10,000	00
511	1 CY	Drybound Dense Graded Aggregate FOR Four DOLLARS CENTS	4	—	0.5	2	—
512	LS	Mobilization FOR Twelve Thousand DOLLARS CENTS	12,000	00	LS	12,000	00
519	1 CY	Sand Bags in Place FOR Four DOLLARS CENTS	4	—	0.5	2	—
520	1 SY	Removal & Replacement of Asphalt Pavement and/or Asphalt Surfaced Concrete Pavement FOR One Hundred Forty DOLLARS CENTS	140	—	125	17,500	—
Item 600-6-A (0' - 10')	1 LF	Furnish & Install 6-inch Diameter High Density Polyethylene Pipe (0' - 10') FOR Sixty Three DOLLARS CENTS	63	—	0.25	15	75

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE		BID FACTOR	AMOUNT BID	
			DOLLARS	CENTS		DOLLARS	CENTS
Item 600-6-B (11' & over)	1 LF	Furnish & Install 6-inch Diameter High Density Polyethylene Pipe (11' & over) FOR Thirty DOLLARS CENTS	30	—	0.25	7	50
Item 600-8-A (0' - 10')	1 LF	Furnish & Install 8-inch Diameter High Density Polyethylene Pipe (0' - 10') FOR Sixty Four DOLLARS CENTS	64	—	0.25	16	—
Item 600-8-B (11' & over)	1 LF	Furnish & Install 8-inch Diameter High Density Polyethylene Pipe (11' & over) FOR Thirty Four DOLLARS CENTS	30	50	0.25	7	63
Item 600-10-A (0' - 10')	1 LF	Furnish & Install 10-inch Diameter High Density Polyethylene Pipe (0' - 10') FOR Sixty Four DOLLARS CENTS	64	—	0.25	16	—
Item 600-10-B (11' & over)	1 LF	Furnish & Install 10-inch Diameter High Density Polyethylene Pipe (11' & over) FOR Thirty One DOLLARS CENTS	31	—	0.25	7	75
Item 600-12-A (0' - 10')	1 LF	Furnish & Install 12-inch Diameter High Density Polyethylene Pipe (0' - 10') FOR Sixty Five DOLLARS CENTS	65	—	0.25	16	25
Item 600-12-B (11' & over)	1 LF	Furnish & Install 12-inch Diameter High Density Polyethylene Pipe (11' & over) FOR Thirty Two DOLLARS CENTS	32	—	0.25	8	—
Item 600A-5C	1 Ea.	Furnish & Install Electrofuse Coupling for 6" Diameter High Density Polyethylene Pipe FOR Five Hundred DOLLARS CENTS	500	—	0.25	125	—

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS CENTS	BID FACTOR	AMOUNT BID DOLLARS CENTS
Item 600A-8C	1 Ea.	Furnish & Install Electrofuse Coupling for 8" Diameter High Density Polyethylene Pipe FOR Five Hundred Ten DOLLARS CENTS	510 —	0.25	127 50
Item 600A-10C	1 Ea.	Furnish & Install Electrofuse Coupling for 10" Diameter High Density Polyethylene Pipe FOR Five Hundred Twenty Five DOLLARS CENTS	525 —	0.25	131 25
Item 600A-12C	1 Ea.	Furnish & Install Electrofuse Coupling for 12" Diameter High Density Polyethylene Pipe FOR Six Hundred Twenty Five DOLLARS CENTS	625 —	0.25	156 25
Item 600A-8T	1 Ea.	Furnish & Install Electrofuse "T" Fitting for High Density Polyethylene Pipe 8" x 8" x 6" Diameter FOR Four Hundred Seventy Five DOLLARS CENTS	475 —	0.25	118 75
Item 600A-12T	1 Ea.	Furnish & Install Electrofuse "T" Fitting for High Density Polyethylene Pipe 12" x 12" x 6" Diameter FOR Six Hundred Forty DOLLARS CENTS	640 —	0.25	160 —
Item 600A-8R	1 Ea.	Furnish & Install Electrofuse Reducer Fitting for High Density Polyethylene Pipe 8" x 6" Diameter FOR Three Hundred Twenty DOLLARS CENTS	320 —	0.25	80 —
Item 600A-10R	1 Ea.	Furnish & Install Electrofuse Reducer Fitting for High Density Polyethylene Pipe 10" x 8" Diameter FOR Five Hundred Twenty DOLLARS CENTS	520 —	0.25	130 —
Item 600A-12R	1 Ea.	Furnish & Install Electrofuse Reducer Fitting for High Density Polyethylene Pipe 12" x 10" Diameter FOR Five Hundred Fifty DOLLARS CENTS	550 —	0.25	137 50

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE		BID FACTOR	AMOUNT BID	
			DOLLARS	CENTS		DOLLARS	CENTS
601-4-A (0'-10')	1 LF	4" Dia. Ductile Iron Pipe, Furnish & Install FOR <u>Eighty Eight</u> DOLLARS CENTS	88	—	3	264	—
601-4-B (11' & over)	1 LF	4" Dia. Ductile Iron Pipe, Furnish & Install FOR <u>Thirty Five</u> DOLLARS CENTS	35	—	0.5	17	50
601-6-A (0'-10')	1 LF	6" Dia. Ductile Iron Pipe, Furnish & Install FOR <u>One Hundred Eight</u> DOLLARS CENTS	108	—	25	2,700	—
601-6-B (11' & over)	1 LF	6" Dia. Ductile Iron Pipe, Furnish & Install FOR <u>Fifty</u> DOLLARS CENTS	50	—	10	500	—
601-8-A (0'-10')	1 LF	8" Dia. Ductile Iron Pipe, Furnish & Install FOR <u>One Hundred Eight</u> DOLLARS CENTS	108	—	25	2,700	—
601-8-B (11' & over)	1 LF	8" Dia. Ductile Iron Pipe, Furnish & Install FOR <u>Fifty q Fifty cents</u> DOLLARS CENTS	50	50	10	505	—
601-10-A (0'-10')	1 LF	10" Dia. Ductile Iron Pipe, Furnish & Install FOR <u>One Hundred Eight</u> DOLLARS CENTS	108	—	10	1,080	—
601-10-B (11' & over)	1 LF	10" Dia. Ductile Iron Pipe, Furnish & Install FOR <u>Fifty q Fifty cents</u> DOLLARS CENTS	50	50	10	505	—

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE		BID FACTOR	AMOUNT BID	
			DOLLARS	CENTS		DOLLARS	CENTS
601-12-A (0'-10')	1 LF	12" Dia. Ductile Iron Pipe, Furnish & Install FOR <u>One Hundred Twenty</u> DOLLARS CENTS	120	—	3	360	—
601-12-B (11' & over)	1 LF	12" Dia. Ductile Iron Pipe, Furnish & Install FOR <u>Fifty Eight + Fifty</u> DOLLARS CENTS	58	50	0.5	29	25
601-16-A (0'-10')	1 LF	16" Dia. Ductile Iron Pipe, Furnish & Install FOR <u>One Hundred Twenty Three</u> DOLLARS CENTS	123	—	3	369	—
601-16-B (11' & over)	1 LF	16" Dia. Ductile Iron Pipe, Furnish & Install FOR <u>Fifty Eight + Fifty</u> DOLLARS CENTS	58	50	0.5	29	25
601-24-A (0'-10')	1 LF	24" Dia. Ductile Iron Pipe, Furnish & Install FOR <u>One Hundred Twenty Four</u> DOLLARS CENTS	124	—	3	372	—
601-24-B (11' & over)	1 LF	24" Dia. Ductile Iron Pipe, Furnish & Install FOR <u>Sixty Three</u> DOLLARS CENTS	63	—	0.5	31	50
602-4A (0'-10')	1 LF	Furnish & Install 4-inch Diameter PVC Pipe & Fittings FOR <u>Fifty</u> DOLLARS CENTS	50	—	0.5	25	—
602-4B (11' & over)	1 LF	Furnish & Install 4-inch Diameter PVC Pipe & Fittings FOR <u>Twenty</u> DOLLARS CENTS	20	—	0.5	10	—

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS CENTS	BID FACTOR	AMOUNT BID DOLLARS	CENTS
602-6A (0'-10')	1 LF	Furnish & Install 6-inch Diameter PVC Pipe & Fittings FOR <u>Seventy</u> DOLLARS CENTS	70 -	0.5	35	-
602-6B (11' & over)	1 LF	Furnish & Install 6-inch Diameter PVC Pipe & Fittings FOR <u>Thirty</u> DOLLARS CENTS	30 -	0.5	15	-
602-8A (0'-10')	1 LF	Furnish & Install 8-inch Diameter PVC Pipe & Fittings FOR <u>Seventy One</u> DOLLARS CENTS	71 -	0.5	35	50
602-8B (11' & over)	1 LF	Furnish & Install 8-inch Diameter PVC Pipe & Fittings FOR <u>Thirty One</u> DOLLARS CENTS	31 -	0.5	15	50
602-10A (0'-10')	1 LF	Furnish & Install 10-inch Diameter PVC Pipe & Fittings FOR <u>Seventy One</u> DOLLARS CENTS	71 -	0.5	35	50
602-10B (11' & over)	1 LF	Furnish & Install 10-inch Diameter PVC Pipe & Fittings FOR <u>Thirty One</u> DOLLARS CENTS	31 -	0.5	15	50
602-12A (0'-10')	1 LF	Furnish & Install 12-inch Diameter PVC Pipe & Fittings FOR <u>Seventy One</u> DOLLARS CENTS	71 -	0.5	35	50
602-12B (11' & over)	1 LF	Furnish & Install 12-inch Diameter PVC Pipe & Fittings FOR <u>Thirty One</u> DOLLARS CENTS	31 -	0.5	15	50



CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS.		UNIT PRICE		BID FACTOR	AMOUNT BID	
				DOLLARS	CENTS		DOLLARS	CENTS
603	1 LB.	Cast Iron Fltings FOR <u>F-02 C</u> <u>&amp; Ninety Five</u> DOLLARS CENTS		4	95	750	3,712	50
604-4	1 EA	4" Dia. Valve & Box, Furnish and Install FOR <u>Three Hundred Ninety Five</u> DOLLARS CENTS		395	—	3	1,185	—
604-6	1 EA	6" Dia. Valve & Box, Furnish and Install FOR <u>Six Hundred</u> DOLLARS CENTS		600	—	6	3,600	—
604-8	1 EA	8" Dia. Valve & Box, Furnish and Install FOR <u>Six Hundred Ten</u> DOLLARS CENTS		610	—	6	3,660	—
604-10	1 EA	10" Dia. Valve & Box, Furnish and Install FOR <u>Six Hundred Fifteen</u> DOLLARS CENTS		615	—	0.5	307	50
604-12	1 EA	12" Dia. Valve & Box, Furnish and Install FOR <u>Seven Hundred</u> DOLLARS CENTS		700	—	0.5	350	—
605-16	1 EA	16" Dia. Valve & Box, Furnish & Install FOR <u>Seven Hundred Fifty</u> DOLLARS CENTS		750	—	0.5	375	—
605-24	1 EA	24" Dia. Valve & Box, Furnish & Install FOR <u>Eight Hundred</u> DOLLARS CENTS		800	—	0.5	400	—

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS	UNIT PRICE CENTS	BID FACTOR	AMOUNT BID DOLLARS	AMOUNT BID CENTS
607	1 LB	Threaded Steel Thrust Rods and Appurtenances FOR <u>Six</u> or <u>Ninety</u> units DOLLARS CENTS	6	90	75	517	30
608	1 CY	Class C Concrete for Concrete Thrust Blocking & Concrete Piers FOR <u>One Hundred Forty</u> DOLLARS CENTS	140	-	0.5	70	-
609-A	1" Dia	Cutting & Connecting to Existing Asbestos Cement Water Mains of Various Sizes FOR <u>Eighty</u> DOLLARS CENTS	80	-	5	400	-
609-B	1" Dia	Cutting & Connecting to Existing Poly-Vinyl Chloride Water Mains of Various Sizes FOR <u>Thirty Five</u> DOLLARS CENTS	35	-	5	175	-
609-C	1" Dia	Cutting & Connecting to Existing Cast Iron or Ductile Iron Pipes FOR <u>Two Hundred Ten</u> DOLLARS CENTS	210	-	100	21,000	-
609-D	1" Dia	Cutting & Connecting to Existing HDPE Pipe FOR <u>Sixty</u> DOLLARS CENTS	60	-	0.5	30	-
611-A	1 EA	Supply & Install Top Section of Valve Box & Cover FOR <u>One Hundred</u> DOLLARS CENTS	100	-	0.5	50	-
611-B	1 EA	Supply & Install Bottom Section of Valve Box FOR <u>Twenty</u> DOLLARS CENTS	20	-	0.5	10	-

CONTRACT-NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS	CENTS	BID FACTOR	AMOUNT BID DOLLARS	CENTS
611-C	1 EA	Supply and Install Extension Section of Valve Box FOR <u>Twelve</u> DOLLARS CENTS	20	—	0.5	10	—
611-D	1 EA	Supply and Install Top & Bottom Section of Valve Box & Cover FOR <u>One Hundred Fifty</u> DOLLARS CENTS	150	—	0.5	75	—
612-A	1 EA	Raise Existing Valve Box to Proper Grade FOR <u>Seventy</u> DOLLARS CENTS	70	—	0.5	35	—
612-B	1 EA	Straighten and Plumb Existing Valve Box FOR <u>Seventy Five</u> DOLLARS CENTS	75	—	0.5	37	50
612-C	1 EA	Supply & Install "Rite Lite" to Raise Valve Box to Proper Grade FOR <u>Sixty</u> DOLLARS CENTS	60	—	10	600	—
613	1 EA	Valve Packing Repair FOR <u>Two Hundred</u> DOLLARS CENTS	200	—	3	600	—
614-F	1 EA	Furnish and Install Complete Hydrant Assy FOR <u>Three Thousand Four Hundred Fifty</u> DOLLARS CENTS	3,450	—	25	86,250	—
614-G	1 EA	Furnish and Install Upper Section of Hydrant Assy FOR <u>Four Hundred Twenty Five</u> DOLLARS CENTS	425	—	10	4,250	—

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE		BID FACTOR	AMOUNT BID	
			DOLLARS	CENTS		DOLLARS	CENTS
614-H	1 EA	Relocate Existing Hydrants FOR <u>Seven Hundred Fifty</u> DOLLARS CENTS	750	—	0.5	375	—
614-H	1 EA	Relocate Existing Hydrants FOR <u>Seven Hundred Fifty</u> DOLLARS CENTS	750	—	0.5	375	—
615-A	1 EA	Repair of Upper Hydrant Assembly FOR <u>Six Hundred Twenty</u> DOLLARS CENTS	620	—	10	6,200	—
615B-6	1 EA	Supply and Install 6" Length Hydrant Riser Assy FOR <u>Two Hundred Seventy Five</u> DOLLARS CENTS	275	—	5	1,375	—
615B-12	1 Ea	Supply and Install 12" Length Hydrant Riser Assy FOR <u>Two Hundred Eighty Five</u> DOLLARS CENTS	285	—	1	285	—
615B-18	1 EA	Supply and Install 18" Length Hydrant Riser Assy FOR <u>Two Hundred Ninety Five</u> DOLLARS CENTS	295	—	0.5	147	50
615B-24	1 EA	Supply and Install 24" Length Hydrant Riser Assy FOR <u>Three Hundred Five</u> DOLLARS CENTS	305	—	0.5	152	50
615B-30	1 EA	Supply and Install 30" Length Hydrant Riser Assy FOR <u>Three Hundred Fifty</u> DOLLARS CENTS	315	—	0.5	157	50

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS CENTS	BID FACTOR	AMOUNT BID DOLLARS CENTS
615B-36	1 EA	Supply & Install 36" Length Hydrant Riser Assy FOR <u>Three Hundred Twenty Five</u> DOLLARS CENTS	325 —	0.5	162 50
615-C	1 EA	Repair of Hydrant Valve & Drain Assembly FOR <u>Five Hundred Twenty Five</u> DOLLARS CENTS	525 —	10	5,250 —
615-D	1 EA	Supply & Repair Hydrant Break Flange FOR <u>Four Hundred Seventy Five</u> DOLLARS CENTS	475 —	20	9,500 —
615-G	1 EA	Inspection, Recoring & Basic Maintenance of Hydrants FOR <u>One Hundred Five</u> DOLLARS CENTS	105 —	300	31,500 —
616	1 EA	Inspection & Operation Water Main Valve and Box FOR <u>Fifty</u> DOLLARS CENTS	50 —	150	7,500 —
622	1 EA	Painting Hydrant FOR <u>Sixty Five</u> DOLLARS CENTS	65 —	50	3,250 —
623	1 EA	Sampling Tap & Connection FOR <u>One Hundred</u> DOLLARS CENTS	100 —	0.5	50 —
624	1 EA	Emergency Repair Chlorination of Water Mains & Appurtenances FOR <u>One Hundred</u> DOLLARS CENTS	100 —	0.5	50 —

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS	UNIT PRICE CENTS	BID FACTOR	AMOUNT BID DOLLARS	AMOUNT BID CENTS
625	1 EA	Hydrant Bollards FOR One Hundred Fifty — DOLLARS CENTS	150	—	5	750	—
625-P	1 EA	Painting Bollards FOR Thirty Five — DOLLARS CENTS	35	—	5	175	—
626-3/4	1 EA	3/4" F&I Reduced Pressure Zone Backflow Prevention Device Inside a Building FOR NO & one cent DOLLARS CENTS	0	01	0.5	0	01
626-1	1 EA	1" F&I Reduced Pressure Zone Backflow Prevention Device Inside a Building FOR NO & one cent DOLLARS CENTS	0	01	0.5	0	01
626-1 1/4	1 EA	1 1/4" F&I Reduced Pressure Zone Backflow Prevention Device Inside a Building FOR NO & one cent DOLLARS CENTS	0	01	0.5	0	01
626-1 1/2	1 EA	1 1/2" F&I Reduced Pressure Zone Backflow Prevention Device Inside a Building FOR NO & One cent DOLLARS CENTS	0	01	0.5	0	01
626-2	1 EA	2" F&I Reduced Pressure Zone Backflow Prevention Device Inside a Building FOR NO & One cent DOLLARS CENTS	0	01	0.5	0	01
626-2 1/2	1 EA	2 1/2" F&I Reduced Pressure Zone Backflow Prevention Device Inside a Building FOR NO & One cent DOLLARS CENTS	0	01	0.5	0	01

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE		BID FACTOR	AMOUNT BID	
			DOLLARS	CENTS		DOLLARS	CENTS
626-3	1 EA	3" F&I Reduced Pressure Zone Backflow Prevention Device Inside a Building FOR NO & One cent DOLLARS CENTS	0	01	0.5	0	01
626-4	1 EA	4" F&I Reduced Pressure Zone Backflow Prevention Device Inside a Building FOR NO & One cent DOLLARS CENTS	0	01	0.5	0	01
626-6	1 EA	6" F&I Reduced Pressure Zone Backflow Prevention Device Inside a Building FOR NO & One cent DOLLARS CENTS	0	01	0.5	0	01
626-8	1 EA	8" F&I Reduced Pressure Zone Backflow Prevention Device Inside a Building FOR NO & One cent DOLLARS CENTS	0	01	0.5	0	01
627-3/4	1 EA	3/4" F&I Double Check Valve Backflow Prevention Device Inside a Building FOR NO & One cent DOLLARS CENTS	0	01	0.5	0	01
627-1	1 EA	1" F&I Double Check Valve Backflow Prevention Device Inside a Building FOR NO & One cent DOLLARS CENTS	0	01	0.5	0	01
627-1 1/2	1 EA	1 1/2" F&I Double Check Valve Backflow Prevention Device Inside a Building FOR NO & One cent DOLLARS CENTS	0	01	0.5	0	01
627-2	1 EA	2" F&I Double Check Valve Backflow Prevention Device Inside a Building FOR NO & One cent DOLLARS CENTS	0	01	0.5	0	01

CONTRACT NO. S80030Z

ITEM NO.	MEASUREMENTS	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT PRICE DOLLARS	PRICE CENTS	BID FACTOR	AMOUNT BID DOLLARS	CENTS
627-2 1/2	1 EA	2" F&I Double Check Valve Backflow Prevention Device Inside a Building FOR NO & One cent DOLLARS CENTS	0	01	0.5	0	01
627-3	1 EA	3" F&I Double Check Valve Backflow Prevention Device Inside a Building FOR NO & One cent DOLLARS CENTS	0	01	0.5	0	01
627-4	1 EA	4" F&I Double Check Valve Backflow Prevention Device Inside a Building FOR NO & One cent DOLLARS CENTS	0	01	0.5	0	01
627-6	1 EA	6" F&I Double Check Valve Backflow Prevention Device Inside a Building FOR NO & One cent DOLLARS CENTS	0	01	0.5	0	01
627-8	1 EA	8" F&I Double Check Valve Backflow Prevention Device Inside a Building FOR NO & One cent DOLLARS CENTS	0	01	0.5	0	01
628	1 EA	Flow Testing of Water Mains FOR Two Hundred Thirty — DOLLARS CENTS	230	—	3	690	—

TOTAL COMPARISON BID PRICE

\$

268,402.60  
x7

TOTAL OR GROSS BID MUST BE WRITTEN IN WORDS

FOR Two Hundred Sixty Eight Thousand Four Hundred Two  
DOLLARS

Sixty  
CENTS



PROPOSAL: For all work in accordance with the drawings and specifications:

**BANCKER CONSTRUCTION CORP**

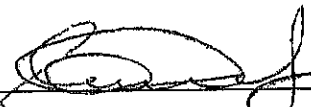
~~(Individual, Firm or~~ Corporation ~~)~~ as case may be)

Individual's Social Security Number \_\_\_\_\_

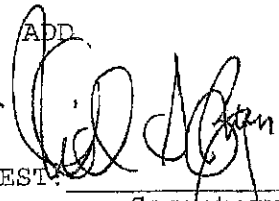
Firm or Corporation's Federal ID Number 11-1876887

Firm or Corporation's Municipal License ID Number \_\_\_\_\_

Municipal Licensing Agency \_\_\_\_\_

By:  Date: 9/27/11  
(Print) STEPHEN M. BEYER Title: PRESIDENT

WHERE BIDDER IS A CORPORATION, ADD

  
ATTEST: \_\_\_\_\_  
Secretary

(CORPORATE)  
(SEAL)

COUNTY OF NASSAU  
DEPARTMENT OF PUBLIC WORKS  
CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE STATEMENT

1. Name of Firm: BANKER CONSTRUCTION CORP  
Address: 218 Blydenburgh Road  
Islandia, NY 11749  
City and State: \_\_\_\_\_ Zip Code \_\_\_\_\_

2. Firm's Vendor Identification Number: \_\_\_\_\_

3. Type of Business: \_\_\_\_\_ Public Corp. \_\_\_\_\_ Partnership \_\_\_\_\_ Sole Proprietorship \_\_\_\_\_ Joint Venture  
\_\_\_\_\_ Ltd Liability Company ☒ Closely Held Corp. \_\_\_\_\_ Other (specify) \_\_\_\_\_

4. List names and address of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint ventures, and all members and officers of Limited Liability Companies (attach additional sheet (s) if necessary)

**STEPHEN M. BEYER**

**PRESIDENT**

**151 Bacon Rd.  
Old Westbury, NY 11568**

**MICHAEL S. BEYER**

**Exec. Vice President**

**95 Brookville Lane  
Old Brookville, NY 11545**

5. List all names and address of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. ( \* If a Publicly held Corporation include a copy of 10K form in lieu of completing this section ) (attach additional sheet (s) if necessary).

**STEPHEN M. BEYER**

**PRESIDENT**

**151 Bacon Rd.  
Old Westbury, NY 11568**

**MICHAEL S. BEYER**

**Exec. Vice President**

**95 Brookville Lane  
Old Brookville, NY 11545**

6. List all affiliated and related companies and their relationship to the firm entered on line 1 (one) above [ if none, enter "None" ] ( \* include a separate disclosure form for each affiliated or subsidiary company ) (attach additional sheet (s) if necessary).

*None*

7. VERIFICATION: This section must be signed by a principal of the Consultant, Contractor or Vendor authorized as signator of the firm for the purpose of executing contracts. The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her own knowledge, true.

Dated: 9/27/11

Signed: \_\_\_\_\_

Print Name: \_\_\_\_\_

**STEPHEN M. BEYER**

Title: \_\_\_\_\_

**PRESIDENT**

# QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 53 years

2. How many years experience in the construction work of a similar type as this contract has your firm had;

a. as a Prime Contractor 53

b. as a Subcontractor 53

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

**SEE ATTACHED** CCA-2 FORM

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you, for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

Previous Successful Bidder

(use additional blank sheets if additional space is necessary)

5. Have you:

a. ever failed to complete any work awarded to You? No  
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

b. ever been defaulted on a contract? No  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

# NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR PROFIT CONSTRUCTION (CCA-2)

<b>BUSINESS ENTITY INFORMATION</b>				
<b>Legal Business Name</b> Bancker Construction Corp.				<b>EIN</b> 11-1876887
<b>Complete Address of the Principal Place of Business</b> 218 Blydenburgh Road			<b>Phone Number</b> 631-582-8880	<b>Fax Number</b> 631-582-3698
<b>E-mail</b> sbeyer@bancker.com		<b>Website</b> www.bancker.com		
<b>Authorized Contact for this Questionnaire</b>				
<b>Name</b> Stephen M. Beyer			<b>Phone Number</b> 631-582-8880	<b>Fax Number</b> 631-582-3698
<b>Title</b> President			<b>Email</b> sbeyer@bancker.com	
<b>Additional Business Entity Identities:</b> If applicable, list any other <u>DBA</u> , <u>Trade Name</u> , <u>Former Name</u> , Other Identity, or <u>EIN</u> used in the last five (5) years, the state or county where filed and the status (active or inactive).				
<b>Type</b>	<b>Name</b>	<b>EIN</b>	<b>State or County where filed</b>	<b>Status</b>

<b>I. BUSINESS CHARACTERISTICS</b>	
<b>1.0 Business Entity Type</b> – Check appropriate box and provide additional information:	
a) <input checked="" type="checkbox"/> <u>Corporation</u> (including <u>PC</u> )	Date of Incorporation 11/25/1958
b) <input type="checkbox"/> <u>Limited Liability Company</u> (LLC or PLLC)	Date Organized
c) <input type="checkbox"/> <u>Limited Liability Partnership</u>	Date of Registration
d) <input type="checkbox"/> <u>Limited Partnership</u>	Date Established
e) <input type="checkbox"/> <u>General Partnership</u>	Date Established County (if formed in
f) <input type="checkbox"/> <u>Sole Proprietor</u>	How many years in business?
g) <input type="checkbox"/> <u>Other</u>	Date Established
If Other, explain:	
<b>1.1 Was the <u>Business Entity</u> formed in New York State?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
If "No" indicate jurisdiction where the <u>Business Entity</u> was formed:	
<input type="checkbox"/> United States	State _____
<input type="checkbox"/> Other	Country _____

\* All underlined terms are defined in the "New York State Vendor Responsibility Definitions List," which can be found at <http://www.osc.state.ny.us/vendrep/documents/definitions.pdf>.

**Note:** THESE TERMS MAY NOT HAVE THEIR ORDINARY, COMMON OR TRADITIONAL MEANINGS. Each vendor is strongly encouraged to read the respective definitions for any and all underlined terms. BY SUBMITTING THIS QUESTIONNAIRE, THE VENDOR AGREES TO BE BOUND BY THE TERMS AS DEFINED IN THE "NEW YORK STATE VENDOR RESPONSIBILITY DEFINITIONS LIST" as it existed at the time of certification.

# NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR PROFIT CONSTRUCTION (CCA-2)

EIN: 11-1876887

## I. BUSINESS CHARACTERISTICS

1.2 Is the Business Entity currently registered to do business in New York State?  
*Note: Select "Not Required" if the Business Entity is a Sole Proprietor or General Partnership*

☒ Yes ☐ No  
☐ Not Required

If "No," explain why the Business Entity is not required to be registered to do business in New York State:

1.3 Is the responding Business Entity a Joint Venture? Note: If the submitting Business Entity is a Joint Venture, also submit a separate questionnaire for each Business Entity comprising the Joint Venture.

☐ Yes ☒ No

1.4 If the Business Entity's Principal Place of Business is not in New York State, does the Business Entity maintain an office in New York State?  
(Select "N/A" if Principal Place of Business is in New York State.)

☐ Yes ☐ No  
☒ N/A

If "Yes," provide the address and telephone number for one office located in New York State.

1.5 Is the Business Entity a New York State certified Minority-Owned Business Enterprise, or Women-Owned Business Enterprise, or New York State Small Business, or federally certified Disadvantaged Business Enterprise?

☐ Yes ☒ No

If "Yes," check all that apply:

- ☐ New York State certified Minority-Owned Business Enterprise (MBE)  
☐ New York State certified Women-Owned Business Enterprise (WBE)  
☐ New York State Small Business  
☐ Federally certified Disadvantaged Business Enterprise (DBE)

1.6 Identify each person who is, or has been within the past five (5) years, a Business Entity Official or Principal Owner of 5.0% or more of the firm's shares, or one of the five largest shareholders or a director, an officer, a partner or a proprietor. Joint Ventures: Provide information for all firms involved.  
(Attach additional pages if necessary.)

Name	Title	Percentage Ownership (Enter 0% if not applicable)	Employment Status with the Firm
Stephen M. Beyer	President	50	<input checked="" type="checkbox"/> Current <input type="checkbox"/> Former
Michael S. Beyer	Exec. Vice President	50	<input checked="" type="checkbox"/> Current <input type="checkbox"/> Former
			<input type="checkbox"/> Current <input type="checkbox"/> Former
			<input type="checkbox"/> Current <input type="checkbox"/> Former

## II. AFFILIATE and JOINT VENTURE RELATIONSHIPS

2.0 Are there any other construction-related firms in which, now or in the past five years, the submitting Business Entity or any of the individuals listed in question 1.6 either owned or owns 5.0% or more of the shares of, or was or is one of the five largest shareholders or a director, officer, partner or proprietor of said other firm?

☒ Yes ☐ No

See Attached List

Firm/Company Name	Firm/Company EIN (if available)	Firm/Company's Primary Business Activity
SEE ATTACHED LIST		

# NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR PROFIT CONSTRUCTION (CCA-2)

EIN: 11-1876887

## I. AFFILIATE and JOINT VENTURE RELATIONSHIPS

Firm/Company Address

Explain relationship with the firm and indicate percent ownership, if applicable (enter N/A, if not applicable):

Are there any shareholders, directors, officers, owners, partners or proprietors that the submitting Business Entity has in common with this affiliate?

☐ Yes ☐ No

Individual's Name

Position/Title with Firm/Company

2.1 Does the Business Entity have any construction-related affiliates not identified in the response to 2.0 above?

☐ Yes ☒ No

Affiliate Name

Affiliate EIN (if available)

Affiliate's Primary Business Activity

Affiliate Address

Explain relationship with the affiliate and indicate percent ownership, if applicable (enter N/A, if not applicable):

Are there any shareholders, directors, officers, owners, partners or proprietors that the submitting Business Entity has in common with this firm?

☐ Yes ☐ No

Individual's Name

Position/Title with Firm/Company

2.2 Has the Business Entity participated in any construction Joint Ventures within the past three (3) years? Attach additional pages if necessary.

☐ Yes ☒ No

Joint Venture Name

Joint Venture EIN (if available)

Identify parties to the Joint Venture

## III. CONTRACT HISTORY

3.0 List the ten most recent construction contracts the Business Entity has completed using Attachment A – Completed Construction Contracts, found at <http://www.osc.state.ny.us/vendrep/documents/attachmenta.doc>. If less than ten, include most recent subcontracts on projects up to that number.

3.1 List all current uncompleted construction contracts by using Attachment B – Uncompleted Construction Contracts, found at <http://www.osc.state.ny.us/vendrep/documents/attachmentb.doc>.

## IV. INTEGRITY – CONTRACT BIDDING

Within the past five (5) years, has the Business Entity, an affiliate or any predecessor company or entity:

4.0 Been suspended or debarred from any government contracting process or been disqualified on any government procurement?

☐ Yes ☒ No

4.1 Been subject to a denial or revocation of a government prequalification?

☐ Yes ☒ No

4.2 Had any bid rejected by a government entity for lack of qualifications, responsibility or because of the submission of an informal, non-responsive or incomplete bid?

☐ Yes ☒ No

4.3 Had a proposed subcontract rejected by a government entity for lack of qualifications, responsibility or because of the submission of an informal, non-responsive or incomplete bid?

☐ Yes ☒ No

4.4 Had a low bid rejected on a government contract for failure to make good faith efforts on any Minority-Owned Business Enterprise, Women-Owned Business Enterprise or Disadvantaged Business Enterprise goal or statutory affirmative action requirements on a previously held contract?

☐ Yes ☒ No

# NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR PROFIT CONSTRUCTION (CCA-2)

EIN: 11-1876887

## IV. INTEGRITY - CONTRACT BIDDING

Within the past five (5) years, has the Business Entity, an affiliate or any predecessor company or entity:

- |  |   |
|--|---|
| 4.5 Agreed to a voluntary exclusion from bidding/contracting with a <u>government entity</u> ?   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 4.6 Initiated a request to withdraw a bid submitted to a <u>government entity</u> or made any claim of an error on a bid submitted to a <u>government entity</u> ? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |

For each "Yes," provide an explanation of the issue(s), the Business Entity involved, the relationship to the submitting Business Entity, the government entity involved, project(s), relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer(s) below or attach additional sheets with numbered responses.

## V. INTEGRITY - CONTRACT AWARD

Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:

- |   |   |
|---|---|
| 5.0 Defaulted on or been <u>suspended</u> , cancelled or <u>terminated for cause</u> on any contract?   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 5.1 Been subject to an <u>administrative proceeding</u> or civil action seeking specific performance or restitution (except any disputed work proceeding) or requiring the <u>Business Entity</u> to enter into a formal monitoring agreement in connection with any <u>government contract</u> ? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 5.2 Had its surety called upon to complete any contract whether government or private sector?   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |

For each "Yes," provide an explanation of the issue(s), the Business Entity involved, the relationship to the submitting Business Entity, the government entity/owners involved, project(s), contract number(s), relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer(s) below or attach additional sheets with numbered responses.

## VI. CERTIFICATIONS/LICENSES

Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:

- |   |   |
|---|---|
| 6.0 Had a revocation or <u>suspension</u> of any business or professional permit and/or license?  | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 6.1 Had a denial, decertification, revocation or forfeiture of New York State certification of <u>Minority-Owned Business Enterprise</u> , <u>Women-Owned Business Enterprise</u> or a <u>federal</u> certification of <u>Disadvantaged Business Enterprise</u> status, for other than a change of ownership? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |

For each "Yes," provide an explanation of the issue(s), the Business Entity involved, the relationship to the submitting Business Entity, the government entity involved, relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer(s) below or attach additional sheets with numbered responses.

## VII. LEGAL PROCEEDINGS

Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:

- |  |  |
|--|--|
| 7.0 Been the subject of a criminal <u>investigation</u> , whether open or closed, or an indictment for any business-related conduct constituting a crime under local, state or <u>federal</u> law?   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |
| 7.1 Been the subject of:<br>(i) An indictment, grant of immunity, <u>judgment</u> or conviction (including entering into a plea bargain) for conduct constituting a crime; or<br>(ii) Any criminal <u>investigation</u> , felony indictment or conviction concerning the formation of, or any business association with, an allegedly false or fraudulent <u>Minority-Owned Business Enterprise</u> , <u>Women-Owned Business Enterprise</u> , or a <u>Disadvantaged Business Enterprise</u> ? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 7.2 Received any OSHA citation and Notification of Penalty containing a violation classified as <u>serious</u> or <u>willful</u> ? SEE ATTACHED  | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  |

# **NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR PROFIT CONSTRUCTION (CCA-2)**

EIN: 11-1876887

## **VII. LEGAL PROCEEDINGS**

Within the past five (5) years, has the Business Entity, an affiliate, or any predecessor company or entity:

7.3 Had a <u>government entity</u> find a willful prevailing wage or supplemental payment violation?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
7.4 Had a New York State Labor Law violation deemed willful?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
7.5 Entered into a consent order with the New York State Department of Environmental Conservation, or a <u>federal</u> , state or local government enforcement determination involving a violation of <u>federal</u> , state or local environmental laws?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
7.6 Other than previously disclosed, been the subject of any <u>citations, notices, violation orders</u> , pending administrative hearings or proceedings or determinations of a violation of: <ul style="list-style-type: none"> <li>▪ <u>Federal</u>, state or local health laws, rules or regulations;</li> <li>▪ <u>Federal</u>, state or local environmental laws, rules or regulations;</li> <li>▪ Unemployment insurance or workers compensation coverage or <u>claim</u> requirements;</li> <li>▪ Any labor law or regulation, which was deemed willful;</li> <li>▪ Employee Retirement Income Security Act (ERISA);</li> <li>▪ <u>Federal</u>, state or local human rights laws;</li> <li>▪ <u>Federal</u>, state or local security laws?</li> </ul>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

For each "Yes," provide an explanation of the issue(s), the Business Entity involved, the relationship to the submitting Business Entity, the government entity involved, relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer(s) below or attach additional sheets with numbered responses.

Prior to the scheduled hearing, Bancker Construction Corp. settled with the DoL on a reduction of fines and citation classifications to one "serious" and two "other-than-serious" violations. Bancker maintained its innocence to each of the alleged citations and no admissions of liability were contained in the settle ment. Please note that there was no incident or injury stemming from the alleged citations, only allegations of OSHA non-compliance.

## **VIII. LEADERSHIP INTEGRITY**

If the Business Entity is a Joint Venture Entity, answer "N/A - Not Applicable" to questions in this section.

Within the past five (5) years has any individual previously identified or any individual having the authority to sign, execute or approve bids, proposals, contracts or supporting documentation on behalf of the Business Entity with New York State been subject to:

8.0 A <u>sanction</u> imposed relative to any business or professional permit and/or license?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A
8.1 A criminal <u>investigation</u> , whether open or closed, or an indictment for any business-related conduct constituting a crime under local, state or <u>federal</u> law?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A
8.2 Misdemeanor or felony charge, indictment or conviction for: (i) Any business-related activity including but not limited to fraud, coercion, extortion, bribe or bribe-receiving, giving or accepting unlawful gratuities, immigration or tax fraud, racketeering, mail fraud, wire fraud, price-fixing or collusive bidding; or (ii) Any crime, whether or not business-related, the underlying conduct of which related to truthfulness, including but not limited to the filing of false documents or false sworn statements, perjury or larceny?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A
8.3 A <u>debarment</u> from any <u>government contracting process</u> ?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A

For each "Yes," provide an explanation of the issue(s), the individual involved, the relationship to the submitting Business Entity, the government entity involved, relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer(s) below or attach additional sheets with numbered responses.



# NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE FOR PROFIT CONSTRUCTION (CCA-2)

EIN: 11-1876887

## IX. FINANCIAL AND ORGANIZATIONAL CAPACITY

9.0 Within the past five (5) years, has the <u>Business Entity</u> or any <u>affiliate</u> received any <u>formal unsatisfactory performance assessment(s)</u> from any <u>government entity</u> on any contract?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
If "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the submitting <u>Business Entity</u> , the <u>government entity</u> involved, relevant dates, any remedial or corrective action(s) taken and the current status of the issue(s). Provide answer below or attach additional sheets with numbered responses.		
9.1 Within the past five (5) years, has the <u>Business Entity</u> or any <u>affiliate</u> had any <u>liquidated damages</u> assessed over \$25,000?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
If "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the submitting <u>Business Entity</u> , relevant dates, the contracting party involved, the amount assessed and the current status of the issue(s). Provide answer below or attach additional sheets with numbered responses.		
9.2 Within the past five (5) years, has the <u>Business Entity</u> or any <u>affiliate</u> had any <u>liens, claims</u> or <u>judgments</u> (not including UCC filings) over \$25,000 filed against the <u>Business Entity</u> which remain undischarged or were unsatisfied for more than 90 days?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
If "Yes," provide an explanation of the issue(s), the <u>Business Entity</u> involved, the relationship to the submitting <u>Business Entity</u> , relevant dates, the Lien holder or Claimants' name(s), the amount of the <u>lien(s)</u> and the current status of the issue(s). Provide answer below or attach additional sheets with numbered responses.		
9.3 In the last seven (7) years, has the <u>Business Entity</u> or any <u>affiliate</u> initiated or been the subject of any bankruptcy proceedings, whether or not closed, or is any bankruptcy proceeding pending?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
If "Yes," provide the <u>Business Entity</u> involved, the relationship to the submitting <u>Business Entity</u> , the bankruptcy chapter number, the court name and the docket number. Indicate the current status of the proceedings as "Initiated," "Pending" or "Closed." Provide answer below or attach additional sheets with numbered responses.		
9.4 What is the <u>Business Entity's</u> Bonding Capacity?		
a. Single Project \$15,000,000	b. Aggregate (All Projects) \$50,000,000	
9.5 List <u>Business Entity's</u> Gross Sales for the previous three (3) Fiscal Years:		
1st Year (Indicate year) 2010 Gross Sales \$32,569,427	2nd Year (Indicate year) 2009 Gross Sales \$33,056,359	3rd Year (Indicate year) 2008 Gross Sales \$31,644,741
9.6 List <u>Business Entity's</u> Average Backlog for the previous three (3) fiscal years: (Estimated total value of uncompleted work on outstanding contracts)		
1st Year (Indicate year) 2010 Amount \$14,000,000	2nd Year (Indicate year) 2009 Amount \$20,000,000	3rd Year (Indicate year) 2008 Amount \$18,000,000
9.7 Attach <u>Business Entity's</u> annual financial statement and accompanying notes or complete Attachment C – Financial Information, found at <a href="http://www.osc.state.ny.us/vendrep/documents/attachmentc.xls">http://www.osc.state.ny.us/vendrep/documents/attachmentc.xls</a>		

## X. FREEDOM OF INFORMATION LAW (FOIL)

10.0 Indicate whether any information provided herein is believed to be exempt from disclosure under the Freedom of Information Law (FOIL). <i>Note: A determination of whether such information is exempt from FOIL will be made at the time of any request for disclosure under FOIL. Attach additional pages if necessary.</i>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Indicate the question number(s) and explain the basis for the claim.	

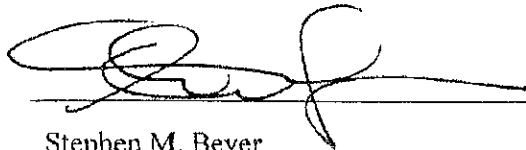
**NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE  
FOR PROFIT CONSTRUCTION (CCA-2)  
Certification**

The undersigned: (1) recognizes that this questionnaire is submitted for the express purpose of assisting New York State contracting entities in making responsibility determinations regarding an award of a contract or approval of a subcontract; (2) recognizes that the Office of the State Comptroller (OSC) will rely on information disclosed in the questionnaire in making responsibility determinations and in approving a contract or subcontract; (3) acknowledges that the New York State contracting entities and OSC may, in their discretion, by means which they may choose, verify the truth and accuracy of all statements made herein; and (4) acknowledges that intentional submission of false or misleading information may constitute a misdemeanor or felony under New York State Penal Law, may be punishable by a fine and/or imprisonment under Federal Law, and may result in a finding of non-responsibility, contract suspension or contract termination.

The undersigned certifies that he/she:

- is knowledgeable about the submitting Business Entity's business and operations;
- has read and understands all of the questions contained in the questionnaire;
- has not altered the content of the questionnaire in any manner;
- has reviewed and/or supplied full and complete responses to each question;
- to the best of his/her knowledge, information and belief, confirms that the Business Entity's responses are true, accurate and complete, including all attachments, if applicable;
- understands that New York State will rely on the information disclosed in the questionnaire when entering into a contract with the Business Entity; and
- is under obligation to update the information provided herein to include any material changes to the Business Entity's responses at the time of bid/proposal submission through the contract award notification, and may be required to update the information at the request of the New York State contracting entities or OSC prior to the award and/or approval of a contract, or during the term of the contract.

Signature of Owner/Officer



Printed Name of Signatory

Stephen M. Beyer

Title

President

Name of Business

Bancker Construction Corp.

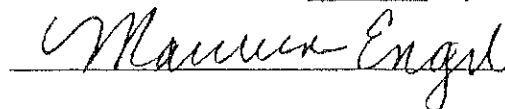
Address

218 Blydenburgh Road

City, State, Zip

Islandia, NY 11749

Sworn to before me this 17 day of March, 2011;



Notary Public

MAUREEN ENGEL  
Notary Public, State of New York  
No. 01EN4942537  
Qualified in Suffolk County  
Commission Expires Sept. 26, 2014

**NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE  
ATTACHMENT A - COMPLETED CONSTRUCTION CONTRACTS**

EIN: 11-1876887

Question 3.0: List the ten most recent construction contracts the Business Entity has completed. If less than ten, include most recent subcontracts on projects up to that number.						
Agency/Owner	Telephone No.	Design Architect and/or Design Engineer	Award Date	Amount	Date Completed	
1. Agency/Owner Water Authority of Western Nassau County	516-327-4055	Owner	08/2008	~\$4,200,000	12/2010	
Contact Person Robert S. Swartz, P.E.						
Contract No. Main Laying	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A			EIN of JV, if applicable N/A	
2. Agency/Owner Suffolk County Water Authority						
Contact Person Timothy J. Kilcommons, P.E.	631-563-0351	Design Architect and/or Design Engineer Owner				
Contract No. Main Laying	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A			EIN of JV, if applicable N/A	
3. Agency/Owner Hudson Waterfront						
Contact Person Raymond Totillo	917-441-7501	Design Architect and/or Design Engineer RBA Associates				
Contract No. Site Utilities - Building J-1	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A			EIN of JV, if applicable N/A	
4. Agency/Owner Hudson Waterfront						
Contact Person Raymond Totillo	917-441-7501	Design Architect and/or Design Engineer RBA Associates				
Contract No. Site Utilities - Building J-2	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A			EIN of JV, if applicable N/A	
5. Agency/Owner Town of Hempstead Water Department						
Contact Person Mike Walsh	516-794-8181	Design Architect and/or Design Engineer Dvirka & Barilucci				
Contract No. Water Main Blending	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A			EIN of JV, if applicable N/A	

**NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE  
ATTACHMENT A - COMPLETED CONSTRUCTION CONTRACTS**

EIN: 11-1876887

Question 3.0: List the ten most recent construction contracts the Business Entity has completed. If less than ten, include most recent subcontracts on projects up to that number.						
Agency/Owner	Telephone No.	Design Architect and/or Design Engineer	Award Date	Amount	Date Completed	
6. New York Power Authority						
Contact Person Robert Haggerty	914-681-6283	Owner	10/2009	\$530,000	07/2010	
Contract No. 4500178422	Joint Venture (JV) Name, if applicable N/A					EIN of JV, if applicable N/A
7. Hunter Roberts Construction Group						
Contact Person Steve Modugno	212-321-6800	Design Architect and/or Design Engineer Gensler	05/2009	\$520,000	11/2010	
Contract No. Site Utilities - Crown Heights Charter High School	Joint Venture (JV) Name, if applicable N/A					EIN of JV, if applicable N/A
8. North Shore LIJ Health Systems - Schneider Children's Hospital						
Contact Person Richard Steimel	78-470-4160	Design Architect and/or Design Engineer Stantec	05/2008	~\$2,800,000	12/2010	
Contract No. Cohen's Children's Hospital - Site Utilities	Joint Venture (JV) Name, if applicable N/A					EIN of JV, if applicable N/A
9. Kiewit Constructors, Inc.						
Contact Person John Benigno	201-571-2500	Design Architect and/or Design Engineer Consolidated Edison	04/2008	~\$270,000	10/2009	
Contract No. Site Utilities - Harlem River Tunnel	Joint Venture (JV) Name, if applicable N/A					EIN of JV, if applicable N/A

**NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE  
ATTACHMENT A - COMPLETED CONSTRUCTION CONTRACTS**

10.	<b>Agency/Owner</b> Suffolk County Water Authority		<b>Award Date</b> 04/2008	<b>Amount</b> ~\$750,000	<b>Date Completed</b> 04/2010
	<b>Contact Person</b> Timothy J. Kilcommons, P.E.	<b>Telephone No.</b> 631-563-0351	<b>Design Architect and/or Design Engineer</b> SCWA		
	<b>Contract No.</b> Water Services	<b>Prime or Sub</b> Prime	<b>Joint Venture (JV) Name, if applicable</b> N/A	<b>EIN of JV, if applicable</b> N/A	

**NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE  
ATTACHMENT B - UNCOMPLETED CONSTRUCTION CONTRACTS**

EIN: 11-1876887

Question 3.1: List all current uncompleted construction contracts.									
Agency/Owner	Telephone No.	Design Architect and/or Design Engineer	Award Date	Amount	Date Completed				
1. Agency/Owner Long Island American Water Corp.	516-596-4832	Owner	01/2010	\$8,000,000	12/2011				
Contact Person Richard Kern, P.E.									
Contract No. Main Laying	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A			EIN of JV, if applicable N/A				
		Total Contract Amount \$8,000,000	Amount Sublet to Others \$50,000	Uncompleted Amount \$3,500,000					
			Award Date 08/2009	Amount \$2,000,000	Date Completed 08/2011				
2. Agency/Owner National Grid	516-545-4061	Design Architect and/or Design Engineer Owner							
Contact Person Ronald Filosa									
Contract No. Physical/Mechanical Assist Contract	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A			EIN of JV, if applicable N/A				
		Total Contract Amount \$2,000,000	Amount Sublet to Others \$25,000	Uncompleted Amount \$750,000					
			Award Date 04/2009	Amount \$2,500,000	Date Completed 04/2011				
3. Agency/Owner Suffolk County Water Authority	631-563-0351	Design Architect and/or Design Engineer Owner							
Contact Person Timothy J. Kilcommons, P.E.									
Contract No. Directional Drilling	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A			EIN of JV, if applicable N/A				
		Total Contract Amount \$2,500,000	Amount Sublet to Others \$25,000	Uncompleted Amount \$750,000					
			Award Date 10/2010	Amount \$2,000,000	Date Completed 06/2011				
4. Agency/Owner ExxonMobil / Roux Associates, Inc.	631-232-2600	Design Architect and/or Design Engineer Roux Associates, Inc.							
Contact Person Christopher Proce									
Contract No. Pipe Removal	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A			EIN of JV, if applicable N/A				
		Total Contract Amount \$2,000,000	Amount Sublet to Others -0-	Uncompleted Amount \$400,000					

**NEW YORK STATE VENDOR RESPONSIBILITY QUESTIONNAIRE  
ATTACHMENT B - UNCOMPLETED CONSTRUCTION CONTRACTS**

EIN: 11-1876887

Question 3.1: List all current uncompleted construction contracts.									
Agency/Owner	Telephone No.	Design Architect and/or Design Engineer	Award Date	Amount	Date Completed				
5. Agency/Owner Pepsi-Cola Bottling Company of New York	347-923-3425	Design Architect and/or Design Engineer Berzak Associates Architects, PC	10/2010	\$1,200,000	06/2011				
Contact Person Cheng Lu									
Contract No. Sanitary Sewer Line Replacement	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A			EIN of JV, if applicable	N/A			
		Total Contract Amount \$1,200,000	Amount Sublet to Others \$50,000	Amount \$1,100,000	Uncompleted Amount \$500,000	Date Completed 08/2011			
6. Agency/Owner Ruttura & Sons Construction Co., Inc. - Resorts World New York	631-454-0291	Design Architect and/or Design Engineer Geddon GRC Consulting	02/2010	\$1,100,000					
Contact Person Richard Pearsal									
Contract No. Water Main	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A			EIN of JV, if applicable	N/A			
		Total Contract Amount \$1,100,000	Amount Sublet to Others -0-	Amount \$750,000	Uncompleted Amount \$1,000,000	Date Completed 08/2011			
7. Agency/Owner Granite Traylor Frontier, JV - MTA East Side Access	914-606-3600	Design Architect and/or Design Engineer PB-STV-Parsons	04/2010	\$750,000					
Contact Person Samuel Fisher									
Contract No. East Side Access CQ031	Prime or Sub Sub	Joint Venture (JV) Name, if applicable N/A			EIN of JV, if applicable	N/A			
		Total Contract Amount \$750,000	Amount Sublet to Others -0-	Amount \$500,000	Uncompleted Amount \$25,000	Date Completed 12/2013			
8. Agency/Owner Grace Industries, Inc.	516-336-6720	Design Architect and/or Design Engineer NYS Dept. of Transportation	02/2011	\$500,000					
Contact Person Steve J. Andrich									
Contract No. D-261545	Prime or Sub Sub	Joint Venture (JV) Name, if applicable N/A			EIN of JV, if applicable	N/A			
		Total Contract Amount \$500,000	Amount Sublet to Others -0-	Amount \$550,000	Uncompleted Amount \$550,000				

**NEW YORK STATE VENDOR RES: ONSIBILITY QUESTIONNAIRE  
ATTACHMENT B - UNCOMPLETED CONSTRUCTION CONTRACTS**

EIN: 11-1876887

Question 3.1: List all current uncompleted construction contracts.									
Agency/Owner	Telephone No.	Design Architect and/or Design Engineer	Award Date	Amount	Date Completed				
9. North Shore LIJ Hospital / Shulman Industries	631-499-7974	P. W. Grosser Consulting Engineers	03/2011	\$190,000	07/2011				
Contact Person Harry Haralambous									
Contract No.	Prime or Sub	Joint Venture (JV) Name, if applicable			EIN of JV, if applicable				
Franklin Hospital	Sub	N/A			N/A				
		Total Contract Amount	Amount Sublet to Others		Uncompleted Amount				
		\$190,000	-0-		\$190,000				
10. Agency/Owner Calpine Bethpage Energy Center		Design Architect and/or Design Engineer	Award Date	Amount	Date Completed				
Contact Person Igor Borisov	516-605-6037	H2M Group	01/2011	\$400,000	08/2011				
Contract No.	Prime or Sub	Joint Venture (JV) Name, if applicable			EIN of JV, if applicable				
CALP 10-05	Prime	N/A			N/A				
		Total Contract Amount	Amount Sublet to Others		Uncompleted Amount				
		\$400,000	-0-		\$400,000				
Grand Total All Uncompleted Contracts					\$8,065,000				



c. ever been declared a non-responsible bidder by any municipality or public agency? NO  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? NO  
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? NO  
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? NO  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? NO  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

d. that has ever been barred from bidding municipal or public contracts? NO

If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? NO

If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

None

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

None

10. In what other lines of business are you financially interested?

None

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
----------------------	----------------------------------	--	----------------------------------	------------------------

**SEE ATTACHED** "Organizational chart"

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	---	---------------------	---------------------

**SEE ATTACHED** "Equipment List"

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

N/A

(use additional blank sheets if additional space is necessary)



**BANKER CONSTRUCTION CORP.**

**ORGANIZATIONAL CHART  
KEY PERSONNEL**

**STEPHEN M. BEYER**  
*C.E.O., President & Treasurer*

Experience: M.S. Environmental Engineering Rensselaer Polytechnic Institute – Over 35 years of multi-million dollar utility and heavy construction.

**MICHAEL S. BEYER**  
*Executive Vice President & Secretary*

Experience: B.S. Business Administration Syracuse University – Over 20 years experience in administration of personnel and equipment for multi-million-dollar utility & heavy construction.

**WALTER W. BEHRENS**  
*Vice President & General Manager*

Experience: B.S. Construction Management Syracuse University – Over 30 years experience in engineering and utility facilities: gas, water, electrical and telecommunications.

**PHILLIP M. BEYER, Esq.**  
*Vice President & General Counsel*

Experience: B.A. George Washington University; J.D. Brooklyn Law School – over 5 years experience as in-house counsel, focus of practice on construction and commercial litigation; member of NY and NJ bars.

**KEITH MacDOUGALL**  
*Risk Manager*

Experience: B.A. Washington & Lee University; MA Hunter College; JD, Insurance Law, Franklin Pierce Law Center – Over 10 years experience in corporate risk management and environmental affairs.

**KEVIN C. KASZUBA, P.E.**  
*Director of Water Operations*

Experience: N.Y. State Professional Engineer – BE (Civil) Manhattan College; MS (Civil) New York Polytechnic Institute – Over 30 years experience in utility construction.

**CHARLES MADSEN**  
*Chief of Field Operations*

Experience: Over 30 years experience in general and utility construction, specializing in gravity sewer systems, drainage pipelines, pipe jacking and horizontal earth boring.

**CHARLES D. GIUDICE**  
*Sr. Construction Manager*

Experience: B.S. Long Island University – Over 35 years experience in utility construction. Responsible for procurement of permits and materials. N.Y. State licensed for Backflow Prevention Testing. Gas Safety Officer, Mechanical Project Supervisor & Manager for Environmental Rehabilitation Assist Projects.

**LOUIS KARSHICK**  
*Contract Manager, Utilities*

Experience: Over 30 years experience in managing and administering contracts, data processing and assembly, and quantity resolution.

**JOHN TIBERIA**  
*General Construction Superintendent*

Experience: Over 20 years experience in general and utility construction.

**JOSEPH T. BRUCIA, JR.**  
*General Construction Superintendent*

Experience: Over 20 years experience in road and utility construction and maintenance.

**WILLIAM CONE**  
*General Construction Superintendent*

Experience: Over 20 years experience in general and utility construction.

**JOSEPH MANFREDI**  
*Construction Manager*

Experience: Over 30 years experience in utility construction and transmission & distribution of electric power.

**BRIAN THOMAN**  
*Construction Coordinator*

Experience: B.S. Construction Management & B.S. Architectural Engineering, SUNY Farmingdale - Over 5 years experience in general and utility construction.



## EQUIPMENT LIST

**EXCAVATORS**

Caterpillar 314C	6
Caterpillar 315C	1
Caterpillar 321	1
Caterpillar 330	1
Caterpillar 305	1
Samsung SE 210	2
John Deere 892	1

**BACKHOES**

John Deere 410 4X4	11
John Deere 710 4X4	1
Caterpillar 446 4X4	1
Caterpillar 430 4X4	1

**PAVING & COMPACTION**

Ingersoll Rand 5-Ton - VIB	1
Caterpillar 5-Ton - VIB	1
BOMAG 5-Ton - VIB	1
HYPAC 10-Ton Static	1
IR Walk-Behind	1
Hyster 10-Ton Vibratory Tow	1
LeeBoy Path Master	1
Trench Compactors	2

**PIPELINE EQUIPMENT**

Tapping Machines	10
Pipe Saws	20
Generators	10
Manhole Blowers	10
Lasers	2
Fusion Machines Up To 20"	12
Hydro-Stop Equipment	2
Welders	3
Pipeline Test Equipment	

**TRENCHERS**

Ditch Witch 1620	1
Vermeer 2050	1

**DOZERS**

John Deere 450J	1
CAT D-6 LGP	1

**JACKING, BORING & DIRECTIONAL DRILLING EQUIPMENT**

Pneumatic Piercing Tools (Missiles)	10
24" AA Boring Machine	1
36" AA Boring Machine	1
Vermeer D24-40 Navigator	1
Vermeer D33-50 Navigator	1
Vermeer D100-120 Navigator	1
Mud Mixing Trucks	2
Mud Mixing Trailer	1

**COMPRESSORS**

185 CFM	18
175 CFM	3

**MAINTENANCE & PROTECTION OF TRAFFIC**

1 1/2" & 1 1/4" Road Plates	40
2" Road Plates	20
Arrow Boards	10
I. R. Light Towers	10
D.O.T. Attenuator Truck	3
Jersey Barrier	1,000 LF

**DEWATERING**

Godwin Pt. Repair Well-Point System	1
Thomson Trench Well-Point System	1
Thomson Jet Pump System	1
4" Trash Pumps	2
3" Trash Pumps	15
3" Mudsucker Pumps	20
3" Air Pumps	10

**TRUCKS**

10-Wheel Dump	4
10-Wheel Dump W/Boom	3
6-Wheel Dump	2
6-Wheel Dump W/Boom	4
Vans	2
Utility Trucks	18
Tractors	4

**TRAILERS**

50 Ton Low Bed Equipment Trailer	2
20-Ton Tag-A-Long	8
20-Ton Tilt	6
5-Ton Tag-A-Long	2
Dump Trailer	3
Flat Bed Trailer	2
Drop Deck Trailer	1
Water Tank Trailer	1

**LOADERS**

Caterpillar 930	3
Caterpillar 1T28G	2
Caterpillar 924	1
Caterpillar 247	1
BobCat 783	1

**PAVEMENT CUTTERS & MILLERS**

Vermeer CC155 Cutter	1
Vermeer CC 145 Cutter	1
Vermeer CC 145-Miller	1
TigerCat Street Trencher	1
Wirtgen 120F Miller	1

**MISCELLANEOUS EQUIPMENT**

Trench Boxes	14
R T Fork Lift	1
Finn Hydro-Seeder	1
Demolition Hammer	1
Steel Shoring Driver	1
Z Sheets (Steel Shoring)	

**VACUUM EXCAVATION EQUIPMENT**

Vermeer Vacuum Excavation Trailers	2
GapVax Truck Mounted Vacuum Excavators	4

14. In what manner have you inspected this proposed work?  
Explain in detail

*Previous Successful Bidder*

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

*Per NCDPW*

16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

**MICHAEL S. BEYER**

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
------	---------	--------------------	------

**SEE ATTACHED**

*Insurance Certificate  
"Sample"*

*Per Specs.*

ACORD™

## CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)  
7/25/2011

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER The Treiber Group 516-745-0800 AJ Gallagher Risk Mgmt Svcs 377 Oak Street Garden City, NY 11530-0601	CONTACT NAME: PHONE (A/C, No, Ext): 516 745-0800 FAX (A/C, No): 516-745-0082 E-MAIL: ADDRESS: INSURER(S) AFFORDING COVERAGE INSURER A: Old Republic General Insurance NAIC # 24139 INSURER B: National Union Fire Insurance C 19445 INSURER C: INSURER D: INSURER E: INSURER F:
INSURED Bancker Construction Corp. 218 Blydenburgh Road Islandia, NY 11749	

COVERAGES CERTIFICATE NUMBER: REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.


INSR LTR	TYPE OF INSURANCE	ADDC SUBR INSR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	GENERAL LIABILITY <input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: POLICY <input checked="" type="checkbox"/> PROJECT <input checked="" type="checkbox"/> LOC		A2CG98121101	07/01/2011	07/01/2012	EACH OCCURRENCE \$1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$100,000 MED EXP (Any one person) \$10,000 PERSONAL & ADV INJURY \$1,000,000 GENERAL AGGREGATE \$2,000,000 PRODUCTS - COMPROP AGG \$2,000,000 \$
	AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS <input checked="" type="checkbox"/> Drive Oth Car		A2CA98121101	07/01/2011	07/01/2012	COMBINED SINGLE LIMIT (Ea accident) \$1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
B	<input checked="" type="checkbox"/> UMBRELLA LIAB EXCESS LIAB DED <input checked="" type="checkbox"/> RETENTION \$10000		BE66000769	07/01/2011	07/01/2012	EACH OCCURRENCE \$10,000,000 AGGREGATE \$10,000,000 \$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N N N/A	A2CW98121101	07/01/2011	07/01/2012	<input checked="" type="checkbox"/> WC STATUTORY LIMITS <input type="checkbox"/> OTHER E.L. EACH ACCIDENT \$1,000,000 E.L. DISEASE - EA EMPLOYEE \$1,000,000 E.L. DISEASE - POLICY LIMIT \$1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

Additional Insured as required by written contract per policy form CG2010 0704 and CG2037 0704.

CERTIFICATE HOLDER

CANCELLATION

<h1>SAMPLE</h1>	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
	AUTHORIZED REPRESENTATIVE 

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled "Qualifications and Responsibility of Bidders" and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.



NOTE: The bids shall be sworn to by the person signing them, in one of the following forms:

(Form of affidavit where Bidder is a corporation)

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NASSAU )

**STEPHEN M. BEYER**

Being duly sworn, deposes and says:  
That he resides at **151 Bacon Rd.** Street,  
in the City of **Old Westbury, NY 11568** that he is the **PRESIDENT** of  
**BANKER CONSTRUCTION CORP**  
the corporation described in and which executed the foregoing  
instrument; that he knows the seal of said corporation; that the seal  
affixed to the said instrument is such corporate seal and was affixed  
by order of the Board of Directors of said corporation; that he signed  
his name thereto by like order; and that he has knowledge of the  
several matters therein stated and they are in all respects true.  
Subscribed and sworn to before me  
this **27** day of **September**, 2011.

**MAUREEN ENGEL**  
Notary Public, State Of New York  
No. 01EN4942537  
Qualified in Suffolk County  
Commission Expires Sept. 26, 2012

*Maureen Engel*  
Notary

(Form of Affidavit where Bidder is a firm)

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NASSAU )

Being duly sworn, deposes and says:  
That he is a member of  
the firm described in and which executed the foregoing bid; that he  
duly subscribed the name of the firm hereunto on behalf of the firm;  
and that the several matters therein stated are in all respects true.  
Subscribed and sworn to before me  
this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Notary

(Form of Affidavit where Bidder is an individual)

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NASSAU )

Being duly sworn, deposes and says:  
That he is the person described in and who executed the foregoing bid  
and that the several matters therein stated are in all respects true.  
Subscribed and sworn to before me  
this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Notary

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: Bancker Construction Corp.  
Address: 218 Blydenburgh Road  
City, State and Zip Code: Islandia, NY 11749
2. Entity's Vendor Identification Number: 11-1876887
3. Type of Business: ☐ Public Corp ☐ Partnership ☐ Joint Venture  
☐ Ltd. Liability Co ☒ Closely Held Corp ☐ Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

Stephen M. Beyer, CEO - P.O. Box 24, Ocean Beach, NY 11770

Michael S. Beyer, President - 95 Brookville Road, Old Brookville, NY 11545

Phillip M. Beyer, Vice President - 151 Bacon Road, Old Westbury, NY 11568

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation include a copy of the 10K in lieu of completing this section.

Stephen M. Beyer, CEO - P.O. Box 24, Ocean Beach, NY 11770

Michael S. Beyer, President - 95 Brookville Road, Old Brookville, NY 11545

Phillip M. Beyer, Vice President - 151 Bacon Road, Old Westbury, NY 11568

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6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company.

None

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7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements, or to otherwise engage in lobbying as the term is defined herein. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

NONE

(b) Describe lobbying activity of each lobbyist. See page 4 of 4 for a complete description of lobbying activities.

NONE - N/A

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

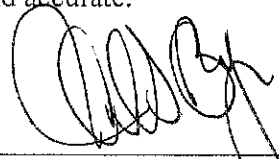
None - N/A

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8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: June 22, 2015

Signed: 

Print Name: Michael S. Beyer

Title: President

**The term lobbying shall mean any attempt to influence:** any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name of lobbyist(s)/lobbying organization: The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission; or to otherwise engage in lobbying activities as the term is defined herein. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

NONE

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2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

NONE

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

NONE

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. **See page 4 for a complete description of lobbying activities.**

NONE

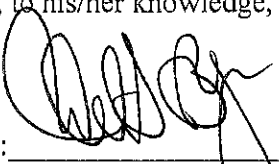
5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

NONE

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VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: June 22, 2015

Signed: \_\_\_\_\_

Print Name: Michael S. Beyer

Title: President



**The term lobbying shall mean any attempt to influence:** any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

**REQUEST TO INITIATE  
REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID  
CONTRACT**

PART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC

☐ RFQ      ☐ RFP      ☐ RFBC      ☐ In-House Work Order

Project No. : S80030Z      Project Title: Water Main Requirements Contract

Department: Public Works      Date: June 8, 2015

Service Requested: Additional funding to the Water Main Requirements Contract necessary to repair, replace and upgrade County owned water mains, fire hydrants and appurtenances.

Justification: Nassau County owns miles of water mains, hundreds of hydrants and thousands of water main valves on properties across the County. Repair and maintenance of these facilities is crucial to both the potable supply and fire protection provided by them. This funding will allow continued maintenance of this important piece of infrastructure.

Requested by: DPW  
Department/Agency/Office

Project Cost for this Phase: \$100,000

Total Project Cost: \$100,000      Justification: \_\_\_\_\_      Date Start Work: ASAP  
Includes, design, construction and cm

Capital Funding Approval:

☒ YES      ☐ NO

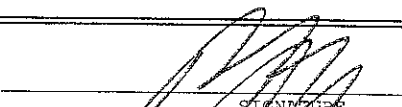
  
SIGNATURE

Funding Allocation (Project/subobject): 90403

See Attached Sheet if multiyear ☐

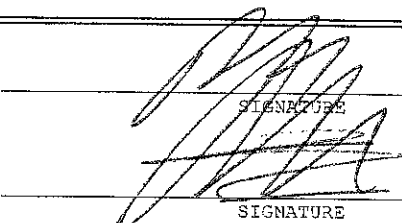
Department Head Approval:

☒ YES      ☐ NO

  
SIGNATURE

DCE/Ops Approval:

☐ YES      ☐ NO

  
SIGNATURE

PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from Responding vendors.

See Attached Sheet ☐

Vendor	Quote	Comment
1. _____		
2. _____		
3. _____		
4. _____		

DCE/Ops Approval:      YES      NO      Signature \_\_\_\_\_